



Local Government Performance Assessment

Mbarara District

(Vote Code: 537)

Assessment	Scores
Accountability Requirements	83%
Crosscutting Performance Measures	84%
Educational Performance Measures	88%
Health Performance Measures	83%
Water Performance Measures	77%

Summary of requirements	Definition of compliance	Compliance justification	Compliant?
Annual performance contract			
<p>LG has submitted an annual performance contract of the forthcoming year by June 30 on the basis of the PFMAA and LG Budget guidelines for the coming financial year.</p>	<ul style="list-style-type: none"> • From MoFPED's inventory/schedule of LG submissions of performance contracts, check dates of submission and issuance of receipts and: <ul style="list-style-type: none"> o If LG submitted before or by due date, then state 'compliant' o If LG had not submitted or submitted later than the due date, state 'non-compliant' • From the Uganda budget website: www.budget.go.ug, check and compare recorded date therein with date of LG submission to confirm. 	<p>Mbarara DLG submitted its Annual Performance Contract to MoFPED on 17th July, 2019 via PBS which was within the revised submission deadline of 31st August, 2019..</p>	Yes
Supporting Documents for the Budget required as per the PFMA are submitted and available			
<p>LG has submitted a Budget that includes a Procurement Plan for the forthcoming FY by 30th June (LG PPDA Regulations, 2006).</p>	<ul style="list-style-type: none"> • From MoFPED's inventory of LG budget submissions, check whether: <ul style="list-style-type: none"> o The LG budget is accompanied by a Procurement Plan or not. If a LG submission includes a Procurement Plan, the LG is compliant; otherwise it is not compliant. 	<p>Mbarara DLG submitted a Budget that incorporated the LG Procurement Plan for the forthcoming FY (2019/2020) on 17th July, 2019 by PBS which was within the revised submission deadline of 31st August, 2019..</p>	Yes
Reporting: submission of annual and quarterly budget performance reports			

<p>LG has submitted the annual performance report for the previous FY on or before 31st July (as per LG Budget Preparation Guidelines for coming FY; PFMA Act, 2015)</p>	<p>From MoFPED's official record/inventory of LG submission of annual performance report submitted to MoFPED, check the date MoFPED received the annual performance report:</p> <ul style="list-style-type: none"> • If LG submitted report to MoFPED in time, then it is compliant • If LG submitted late or did not submit, then it is not compliant 	<p>The LG submitted the Annual Performance Report for the previous FY 2018/2019 on 12th August 2019 via PBS. To MoFPED. Therefore, the submission of the annual performance report was done within the revised submission deadline of 31st August, 2019..</p>	<p>Yes</p>
<p>LG has submitted the quarterly budget performance report for all the four quarters of the previous FY by end of the FY; PFMA Act, 2015).</p>	<p>From MoFPED's official record/inventory of LG submission of quarterly reports submitted to MoFPED, check the date MoFPED received the quarterly performance reports:</p> <ul style="list-style-type: none"> • If LG submitted all four reports to MoFPED of the previous FY by July 31, then it is compliant (timely submission of each quarterly report, is not an accountability requirement, but by end of the FY, all quarterly reports should be available). • If LG submitted late or did not submit at all, then it is not compliant. 	<p>Submission of the quarterly budget performance reports for FY 2018/2019 to MoFPED was through PBS on the following dates; :</p> <p>1st Quarter on 18th December, 2018</p> <p>2nd Quarter on 4th January, 2019</p> <p>3rd Quarter on 14th May, 2019, and</p> <p>4th Quarter on 12th August, 2019</p> <p>The submission was within the revised timelines of 31st August, 2019.</p>	<p>Yes</p>
<p>Audit</p>			

<p>The LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and the Auditor General's findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes actions against all findings where the Internal Auditor and the Auditor General recommended the Accounting Officer to take action in lines with applicable laws.</p>	<p>From MoFPED's Inventory/record of LG submissions of statements entitled "Actions to Address Internal Auditor General's findings",</p> <p>Check:</p> <ul style="list-style-type: none"> • If LG submitted a 'Response' (and provide details), then it is compliant • If LG did not submit a 'response', then it is non-compliant • If there is a response for all –LG is compliant • If there are partial or not all issues responded to – LG is not compliant. 	<p>The LG has provided information to the PS/ST on the status of implementation of Internal Auditor General or Auditor General's findings of eleven queries raised for the previous Financial Year 2017/2018 by end of February, 2019 on 18th March, 2019, Ref: CR/252/1</p> <p>The LG had provided information to PS/ST on the status of implementation of OAG findings including actions against all findings. These included issues regarding; mischarge of expenditure UGX 93,674,028. Due to inappropriate budgeting and knowledge of the chart of accounts. Nugatory expenditure arising from court awards (UGX 247,000,000) irregular payment of VAT, variances between budgeted amounts and market prices during planning and budgeting, delays to execute works after disbursements of funds to all the sub counties, decline in LR, poor management of youth livelihood program, poor management of natural resources, unsatisfactory road equipment maintenance. These queries were adequately responded to and cleared.</p> <p>The Council wrote to OAG on 18th March, 2019 in response to issues raised to all the issues above. The OAG received the responses on 22nd March, 2019, ref: CR/252/1. The OAG stamped the duplicate delivery to confirm receipt.</p>	No
<p>The audit opinion of LG Financial Statement (issued in January) is not adverse or disclaimer.</p>		<p>The Auditor General's Opinion on the Financial statements of Mbarara DLG for the Financial Year ended 30th June, 2019 was Unqualified.</p>	Yes

Summary of requirements	Definition of compliance	Compliance justification	Score
Planning, budgeting and execution			
<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<p>Evidence that a district/ municipality has:</p> <ul style="list-style-type: none"> • A functional Physical Planning Committee in place that considers new investments on time: score 1. 	<p>Mbarara DLG did not have a legally constituted Physical Planning Committee as per Part III (9) of the Physical Planning Act, 2010. At the APA time, the Committee had 11 members but lacked a Physical Planner in Private Practice.</p> <p>The reviewed appointment letters indicated that the CAO appointed the members on 28th June, 2017 by letter, CR/212/3 and they included;</p> <ol style="list-style-type: none"> 1. Lucky Julius, the Physical Planner 2. Himbisa Emmanuel, the Surveyor 3. Eng. Mwebaze Emmanuel, the District Engineer, 4. Ahimbisibwe Gabriel, the District Education Officer 5. Tumwesigye Robert, the Senior Agricultural Officer (District Agricultural Officer), 6. Mucunguzi Joseph, the Senior Assistant Engineering Officer-Water (DWO) 7. Kayumbu William, the DCDO, 8. Dr Ssebutinde Peter, the DHO 9. Baryantuma Johnson Munono, Town Clerk Mbarara Municipality 10. Niwagaba David Sancho, the Senior Environment Officer 11. Cranmer Sabiiti, the Physical Planner in Private Practice <p>Notably missing on the committee was the District Natural Resources Officer.</p> <p>The Committee was functional and discharged its duties in FY 2018/19 through meetings held on;</p> <ol style="list-style-type: none"> a. 12th October, 2018 through minute Min. MDPPC 10/18/05 (A) (1) of 12/10/2018, the committee discussed and the approved development applications like for Mr. Turyakira Godfrey Ndyaba of Kabatanagi, Kigaaga, Mwizi, Rwampara, Mbarara District land for farming and housing of 8 hectares, b. On 19th July, 2018 through Min. No. MDPPC 07/18/05 (B) (04) of 19/07/2018 approved land application for Rwekuringa Elifazi of Plot 10, Block 22, Rwebishekye Rwanyamahembe, Kashari, Mbarara District, land for farming of 17.9910 hectares, and <p>Another meeting was held on 19th March, 2019.</p> <p>The DLG did not have a Physical Development Plan.</p> <p>Therefore, there was no evidence of new infrastructure investments approved plans basing on the approved Physical Development Plan.</p>	<p>0</p>

<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that district/ MLG has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD score 1. 	<p>There was no proof that the DLG of Mbarara submitted all the four sets of minutes for the four meetings held in FY 2018/19 to MoLHUD.</p>	<p>0</p>
<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> • All infrastructure investments are consistent with the approved Physical Development Plan: score 1 or else 0 	<p>Mbarara District Local Government did not have an approved Physical Development Plan thus none of the infrastructure investment was approved as per the plan.</p>	<p>0</p>
<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> • Action area plan prepared for the previous FY: score 1 or else 0 	<p>The DLG of Mbarara had Action Area Plan prepared during the FY under review. The action area plan of Kabatanagi Village, Kigaaga Parish Mwizi Sub county was prepared by ATC Uganda with clear drawings by Charles and satellite Google images. It was approved by the DPPC on 19th July, 2019 under Min. No. MDPPC 07/19/05 (B) (2) on 15th July, 2019.</p>	<p>1</p>

<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p> <p>Maximum 5 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that priorities in AWP for the current FY are based on the outcomes of budget conferences: score 2. 	<p>Priorities in AWP for FY 2019/2020 were aligned well to the outcomes of the budget conference.</p> <ol style="list-style-type: none"> 1. Construction of a seed secondary school in Bukiro S/C. Not reflected in DDP II it is a UGIFT Project and Funds were sent direct from Ministry of Education for seed schools) was on page 56 of the AWP, page 1 of Budget estimates Appendix. It was on page 16 of the Budget conference report and was on project profile page 9. 2. Construction of classroom blocks in Bwizibwera Muslim & Rwengwe Primary Schools. The project was on page 64 of the AWP, page 2 of the LG approved Budget Estimates and on page 16 of the Budget Conference report. It was captured under project profile on page 10. 3. Construction of 5-stance water borne and VIP latrine in Kitengure and Rushozi Market on, on page 23 of the Budget conference report and captured on page 13 of project profile 4. Procurement of 2 motor cycles reflected on page 28 of the AWP, page 24 of the Budget conference report and captured on page 6 of project profiles. 5. Construction of a 2 in one staff house at Rubaya H.C III captured on page 64 of the AWP, on page 19 of the Budget conference report and on page 3 of the project profiles. 	<p>2</p>
<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p> <p>Maximum 5 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the capital investments in the approved Annual work plan for the current FY are derived from the approved five-year development plan. If differences appear, a justification has to be provided and evidence provided that it was approved by the Council. Score 1. 	<p>There was clear evidence that priorities in the AWP were derived from the Five-Year DDP II as indicated in the priorities below in different sectors;</p> <ol style="list-style-type: none"> a) Construction of 5-stance water borne and VIP latrines in Kitengure and Rushozi market captured on page 155 of DDP II and on page 85 of the AWP. b) Construction of a 2 in one staff house at Rubaya H.C III page 146 DDP II and on page 64 of the AWP c) Construction of a seed secondary school in Bukiro S/C (not reflected in the DDP II, funds received direct from MoES and on page 65 of AWP. 	<p>1</p>

<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p> <p>Maximum 5 points on this performance measure.</p>	<ul style="list-style-type: none"> Project profiles have been developed and discussed by TPC for all investments in the AWP as per LG Planning guideline: score 2. 	<p>The project profiles in the Mbarara DLG. were stated as per the Local Government Development Planning guidelines 2014 on page 63 Appendix 3 as evidenced in the;</p> <p>The TPC discussed the Project profiles on 28th February 2019 under Min. 25/02/2019.</p>	2
<p>Annual statistical abstract developed and applied</p> <p>Maximum 1 point on this performance measure</p>	<ul style="list-style-type: none"> Annual statistical abstract, with gender-disaggregated data has been compiled and presented to the TPC to support budget allocation and decision-making- maximum score 1. 	<p>Annual Statistical Abstract with gender disaggregated data was seen, the discussion of the Abstract by TPC was on 19th June, 2019 under minute Min. 47/06/2019</p>	1
<p>Investment activities in the previous FY were implemented as per AWP.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that all infrastructure projects implemented by the LG in the previous FY were derived from the annual work plan and budget approved by the LG Council: score 2 	<p>All Infrastructure projects implemented by the LG in the previous FY 2018/2019 were derived from the Annual Work Plan and Budget approved by the LG Council under Minute COU.37/5/2018 of Council meeting held on 3rd. May, 2018 as exemplified below;</p> <p>Construction of 5 stance water borne and VIP latrine in Kitengure and Rushozi markets was on page 85 of the AWP and was page 3 on the annex of Budget.</p> <p>Procurement of 2 motor bikes captured on page 28 of the AWP and on annex page 1 of the Budget</p> <p>Construction of a 2 in one staff house at Rubaya H.C.III on page 64 of the AWP and on annex page 1</p> <p>Construction and rehabilitation of an OPD and maternity at Bubaale captured on page 56 of the AWP and annex 1 of the Budget</p> <p>Construction of a seed secondary school in Bukiro S/C on page 65 of the AWP and on annex page 1 of the Budget.</p> <p>Construction of classroom blocks in Bwizibwera Muslim and Rwengwe P/S on page 64 of the AWP and on annex page 2 of the Budget</p>	2

<p>Investment activities in the previous FY were implemented as per AWP.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the investment projects implemented in the previous FY were completed as per work plan by end for FY. o 100%: score 4 o 80-99%: score 2 o Below 80%: 0 	<p>There was evidence that investment 80% projects implemented in the previous FY 2018/2019 were completed as per work plan by end for FY 30th June, 2019 as indicated below</p> <ol style="list-style-type: none"> 1 Construction of an OPD at Rubaya H.C.III was 100% completed. 2 Construction of a 2 classroom block in 10 schools, Omumabaare, Nyakayozo, Omukigando, Mishenyi, Omunkiri, Rukanzia was 100% completed 3. Rehabilitation of water points; 20 bore holes at Mwizi, Mugamba, Kagonji, Ndeizia, Rubindi. was 100% completed 4. Construction of 2 public latrines in Rugando and Rukiro was 100% completed 5. Rehabilitation of a theatre at Mwizi Health Centre which was 98% complete <p>Percentage = $\frac{4}{4} \times 100$</p> <p style="text-align: center;">4 = 100%</p>
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<p>The LG has executed the budget for construction of investment projects and O&M for all major infrastructure projects during the previous FY</p> <p>Maximum 4 points on this Performance Measure.</p>	<p>• Evidence that all investment projects in the previous FY were completed within approved budget – Max. 15% plus or minus of original budget: score 2</p>	<p>Mbarara DLG had its investment projects in the previous FY 2018/2019 completed within approved budget in the range of 15% maximum and 15% minus as evidenced by the sample below;</p> <p>1. Construction of an OPD at Rubaya H.C..III</p> <p>Budgeted at 143, 780,000/-</p> <p>Expenditure: 163,738,000/-</p> <p>Budget execution 113.89%</p> <p>2. Rehabilitation of a theatre at Mwizi H.C.III</p> <p>Budgeted; 30,000,000/-</p> <p>Expenditure: Payment effected in the ensuing FY 2019/20, UGX 30M</p> <p>Budget execution: 100%</p> <p>3. Rehabilitation of water points, 15 protected springs, 20 boreholes at Mwizi, Bugamba, Kagonji, Ndeizia and Ruhindi S/Cs</p> <p>Budgeted:1,399,000/-</p> <p>Expenditure: 1,374,000/-</p> <p>Budget execution rate: 98.2%</p> <p>At sector level, the overall budget and actual expenditure was as indicated below;</p> <table border="1" data-bbox="528 904 1426 1592"> <thead> <tr> <th>Implemented /Completed projects Expenditure as at 30th June 2019</th> <th>Budget</th> <th>Actual</th> </tr> </thead> <tbody> <tr> <td>1 Education 18,317,870</td> <td>21,108,436</td> <td></td> </tr> <tr> <td>2 Health 3,711,870</td> <td>4,238,274</td> <td></td> </tr> <tr> <td>3 Production 1,120,540</td> <td>1,366,491</td> <td></td> </tr> <tr> <td>4 Administration 5,341,593,000</td> <td>5,329,185,000</td> <td></td> </tr> <tr> <td>5 Community Based Services 582,556,000</td> <td>1,119,814,000</td> <td></td> </tr> <tr> <td>Total 5,947,299,280</td> <td>6,448,999,000</td> <td></td> </tr> <tr> <td colspan="3">Percentage = $\frac{5,947,299,280}{6,448,999,000} \times 100$</td> </tr> <tr> <td colspan="3" style="text-align: center;">= 92.2%</td> </tr> </tbody> </table>	Implemented /Completed projects Expenditure as at 30th June 2019	Budget	Actual	1 Education 18,317,870	21,108,436		2 Health 3,711,870	4,238,274		3 Production 1,120,540	1,366,491		4 Administration 5,341,593,000	5,329,185,000		5 Community Based Services 582,556,000	1,119,814,000		Total 5,947,299,280	6,448,999,000		Percentage = $\frac{5,947,299,280}{6,448,999,000} \times 100$			= 92.2%		
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The LG has executed the budget for construction of investment projects and O&M for all major infrastructure projects during the previous FY

Maximum 4 points on this Performance Measure.

- Evidence that the LG has budgeted and spent at least 80% of the O&M budget for infrastructure in the previous FY: score 2

The reviewed records and interviews conducted indicated that O&M was undertaken during FY 2018/19.

The District Engineer informed the team that never the less, Water facilities O&M is done by the communities, user fees collected by user committee.

With regard to schools he informed the Assessment Team that O&M is catered for by specific schools in their school budgets, while Health facilities O&M is catered for on page 33 of the Primary Health Care Guidelines.

However, the CFO provided the team with the information below on money budgeted and expended at the district level on O&M as seen below:

Asset/Project	O&M Budget	Actual Expenditure
Production	2,800,000	2,790,000
Health	50,000,000	48,839,565
Education	2,000,000	1,954,000
Water	38,200,000	30,363,188
Total	93,000,000	83,946,753

Evidences of O & M execution by payment vouchers included; PV No.013 for payment and renovation of toilets at UGX 5,431,000/- and voucher No.006, of UGX 80,000/- for renovation works of the drainage system at the district.

Budget for O&M in FY 2018/2019 was UGX 105,000,000

Actual expenditure on O&M during the FY 2018/19 was UGX 95,205,153.

Percentage $\frac{95,205,153}{105,000,000} \times 100$

105,000,000

= 90.7%.

<p>LG has substantively recruited and appraised all Heads of Departments</p> <p>Maximum 5 points on this Performance Measure</p>	<ul style="list-style-type: none"> Evidence that the LG has filled all HoDs positions substantively: score 3 	<p>The approved and Adjusted Staff Establishment for Mbarara District was communicated to the LG by MoPS on 21st May, 2019 by letter Ref. No. ARC 135/506/01</p> <p>The staff Establishment for implementation had ten Departments inclusive of that of Administration. These were; Finance, Planning, Works, Education, Community Based Services, Natural Resources, Production, Trade, Industry and Local Economic Development plus Health services.</p> <p>The substantively filled Departments were;</p> <ul style="list-style-type: none"> Finance was filled by Muganzi Julius appointed on transfer of services from Rubirizi DLG on 26th June, 2018 by letter 156/2 as directed by DSC Min. No. 48/06/2018 (a), Planning was filled by Tusimireyo Johnson appointed 22nd May, 2018 by letter CR/D/20510 as directed by DSC Min. No. 43/05/2018 (a) (iv), Works was filled by District Engineer Mwebaze Emmanuel appointed on Promotion on 15th March, 2018 by letter CR/156/2 as directed by DSC Min. No. 15/2/2018 (a) (i), Education was filled by Mr. Ahimbisbwe Gabriel Kyabaiza who was appointed on 29th October, 2013 by letter CR/D/20742 as directed by DSC Min. No. 179/9/2013, Community Based Services was filled by Kayumbu William R who was appointed by regularization from a Community Based Services Coordinator to DCDO on 14th November, 2005 by letter CR/D/10271 as directed by DSC Min. No. 119/11/2005 (5) (b), Trade, Industry and Local Economic Development was Mutebi Geoffrey who was appointed on 22nd May, 2018 by letter CR/D/11837 as directed by Min. No. 43/05/2018 (a) (i), Health services was filled by DHO; Dr Ssebutinde Peter who was appointed on 17th October, 2019 by letter CR/160/1 as directed by DSC Min. No. 69/10/2019 (a), <p>The Departments with Officers in acting capacities or caretaking following the assignment of duty by the CAO in accordance with the Standing Orders (E-C) 8 (b) were;</p> <ul style="list-style-type: none"> Natural Resources was filled Niwagaba Sancho a Senior Environment Officer appointed on 4th April, 2017 by letter CR/156/6 as directed by DSC Min. No. 22/4/2017 (a). He was assigned duties of acting DNRO 16th April, 2018 by letter CR/20808. Production was filled by Mwebembezi William a Principal Veterinary Officer appointed on 16th April, 2014 by letter CR/D/10051 as directed by DSC Min. No. 58/04/2014 (ii). He was assigned extra duties of caretaking duties of District Production officer on 1st November, 2019 by letter CR/116/1. 	<p>0</p>
<p>LG has substantively recruited and appraised all Heads of Departments</p> <p>Maximum 5 points on this Performance Measure</p>	<ul style="list-style-type: none"> Evidence that HoDs have been appraised as per guidelines issued by MoPS during the previous FY: score 2 	<p>The reviewed files for the HoDs that signed Performance Contracts at the commencement of FY 2018/19 of both substantive and in acting capacities contained Performance Reports endorsed by the CAO as evidence of appraisal for example;</p> <ul style="list-style-type: none"> The District Finance Officer/CFO was appraised on 29TH July, 2019, The District Planner was appraised on 24th July, 2019 The District Engineer was appraised on 9th July, 2019 The DEO was appraised on 15th July, 2019 The District Commercial Officer was appraised on 4th July, 2019 The DCDO was appraised on 5th August, 2019 The DHO was appraised on 28th August, 2019, and The Ag. DNRO was appraised on 15th July, 2019. The Ag. Head of Production was appraised on 20th August, 2019. 	<p>2</p>

<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> Evidence that 100 % of staff submitted for recruitment have been considered: score 2 	<p>During the FY 2018/19, the CAO declared One hundred and ninety-nine vacancies in six batches indicated below;</p> <p>On 29th August, 2018, the CAO declared vacancies for; a Principal Assistant Secretary and a Road overseer. The vacancies were handled by the 4th meeting of the DSC held from 4th , 5th , 8th and 9th April, 2019 under Min. No. 21/04/2019 (a) (xii) directed the CAO to appoint the PAS and the 10th meeting of the DSC held between 28th to 31st May, 2019 vis Min. No. 45/05/2019 (o) directed the appointment of Twinomuhwezi Amon</p> <p>On 16th April, 2019 through letter CR/156/1, the CAO declared 138 vacancies that included; one Agriculture Officer, one Office Attendant, 105 Senior Education Assistant, Laboratory Assistant and 30 enrolled Nurses.</p> <p>The DSC was waiting for clearance by MoPS following the request for authority to recruit Critical Positions by the CAO on 17th October, 2019 via letter CR/160.</p> <p>The 30 Enrolled Nurses were handled during the DSC meeting held on 18th – 19th June, 2019 under minute DSC Min. 57/06/2019 (a) (1-30) e.g Gumushabe Christine was cleared for appointment under Min. No. 57/06/2019 (a) (1).</p> <p>Other vacancies were made on;</p> <ul style="list-style-type: none"> On 1st April, 2019, 217 vacancies were declared via letter CR/160/1 On 8th April, 2019 via letter CR/156/1, 2 vacancies of Laboratory technicians were declared, On 30th April, 2019 three vacancies of Office attendants were declared, On 13th May, 2019 a vacancy of a driver was declared. 	<p>2</p>
<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> Evidence that 100 % of positions submitted for confirmation have been considered: score 1 	<p>The CAO presented staff that were on probation appointment to the DSC for confirmation on different dates for instance;</p> <ul style="list-style-type: none"> On 12th March, 2019 via letter CR/214/01 the CAO submitted 23 cases for Confirmation e. g Tumwebaze Christine an enrolled Nurse and was confirmed under Min. No. 27/04/2019 (a) (62) of a meeting held on 17th April, 2019, <p>The DSC in Accordance with the authority under the provisions of article 200(1) of the Constitution of the Republic of Uganda 1995 and section 55 (1) of the Local Government Act, Cap 243 (as amended), confirmed other Enrolled nurse during the meeting of the 17th April, 2019;</p> <ul style="list-style-type: none"> Kakunda Phionah under Min. No. 27/04/2019 (a) (67). Mugabe Allan under Min. No. 27/04/2019 (a) (68), Komuhimbo Florence under Min. No. 27/04/2019 (a) (69) 	<p>1</p>
<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> Evidence that 100 % of positions submitted for disciplinary actions have been considered: score 1 	<p>Seven cases were referred to the DSC by the CAO for sanctioning and reprimanding. The cases involved;</p> <ul style="list-style-type: none"> Nuwamanya Simpson an Enrolled Nurse (Psychiatric) was on 24th January, 2019 submitted to DSC for lifting of the interdiction following a case of neglect of duty charged him in Court by the State House Health Monitoring team. <p>The DSC meeting held on 7th May, 2019 under Min. No. 40/05/2018 (b) (3), the interdiction was lifted.</p> <ul style="list-style-type: none"> On 10th January, 2019 via letter CR/152/1, Masereka Umar was submitted to DSC for abscondment of duty. <p>The DSC meeting held on 7th May, 2019 under Min. No. 40/05/2018 (b) (4), regarded Umar as having abandoned duty and resigned accordingly.</p>	<p>1</p>

<p>Staff recruited and retiring access the salary and pension payroll respectively within two months</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment: score 3 	<p>All the staff recruited accessed Payroll within 60 days from the time of appointment following the acquisition of the Supplier's number for instance;</p> <ul style="list-style-type: none"> • Gumushabe Christine was appointed on 21st June, 2019 and accessed the salary payroll via IPPS No. 1045348 and received salary on 28th July, 2019 as shown by the Pay slip • Timuziga Rauben was appointed on 31st May, 2019 and accessed payroll via IPPS 1043566 as indicated on the Pay slip of 28th June, 2019 • Tushabe Fred P was appointed on 11th April, 2019 and accessed the payroll via IPPS No. 858914 as showed by the Pay slip of 28th June, 2019. 	3
<p>Staff recruited and retiring access the salary and pension payroll respectively within two months</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100% of the staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement: score 2 	<p>During the Financial Year under review, nineteen (19) staff retired; 17 were mandatory cases and 02 early retirement. All the retirees accessed the Payroll within sixty days from the effective date of retirement thanks to the full Decentralisation of Pension services.</p> <ul style="list-style-type: none"> • Byaruhanga Nicholas a Senior Accountant effectively retired on 1st July, 2019 and received his first gratuity on 30th June, 2019 through IPPS No. 835268 • Kakyeza B. Joseph effectively retired on 10th June, 2019 and accessed the payroll on 30th June, 2019 via IPPS 539734. • Mugisha Apollo effectively retired on 1st July, 2019 and received his first gratuity on 30th June, 2019 through IPPS No. 543011 • Mukasa Christopher effectively retired on 1st July, 2019 due limp amputation and received his first gratuity on 30th June, 2019 through IPPS No. 541527 	2
Revenue Mobilization			
<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • If increase in OSR (excluding one/off, e.g. sale of assets) from previous FY but one to previous FY is more than 10 %: score 4. • If the increase is from 5% - 10 %: score 2. • If the increase is less than 5 %: score 0. 	<p>Mbarara DLG registered a fall of 26.8% in the revenue collection between the two successive FYs; 2017/18 and 2018/19</p> <p>Total of OSR for FY 2017/2018 was UGX 1,136,033,334.</p> <p>Total of OSR for FY 2018/2019 was UGX 830,912,389.</p> <p>Decrease was UGX 305,120,945.</p> $305,120,945 \times 100$ $1,136,033,334$ $\text{Percentage} = 26.8\%$ <p>The Council had invested into a satellite housing project and was expected to earn good LR, but before the business operations began, the project was halted by State House pending IGG investigations. The project had not earned any LR by the time of the assessment.</p> <p>Furthermore, the rate of urbanization and creation of new districts and Town Councils had a LR regression effect of the DLG. The new Town Councils did not pay LR sharing with the District Headquarters. Mbarara is destined to become a city shortly. As a regional center it is not compensated for all the regional activities it has been hosting. Therefore, LR will decline further.</p>	0

<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realisation) is within +/- 10 %: then score 2. If more than +/- 10 %: Score 0. 	<p>Total Local Revenue Planned/Budgeted (Original not Revised Budget) for FY 2018/2019 was UGX 1,518,000,000.</p> <p>The budget for expected return from the housing project was suddenly revised to only UGX 990,000,000.</p> <p>Total Local Revenue collected during FY 2018/2019 was UGX 830,912,389.</p> <p>$\frac{830,912,389}{990,000,000} \times 100$</p> <p>990,000,000</p> <p>Performance = 83.4% was not within acceptable level.</p>	0
<p>Local revenue administration, allocation and transparency</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the District/Municipality has remitted the mandatory LLG share of local revenues: score 2 	<p>Local Revenue collections subjected to sharing with LLGs UGX 46,153,846</p> <p>Amount of local revenue remitted to LLGs UGX 30,000,000.</p> <p>$30,000,000 \times 100$</p> <p>46,153,846</p> <p>Status of compliance ratio = 65% : 35%</p> <p>The Council remitted the mandatory LLG share of LR. However, the advent of IMFS had changed the remittance procedures. The MFPED advanced funds to LLGs every quarter based on prior LR budget of the LLG, to enable them continue providing services. The LR that the LLG would collect would then be banked in full into the BoU's LG collection account, in order to recover the advances. However, the Headquarter would continue to remit the 65% of the budget to LLGs. The LLGs would then recover all the monies advanced during the FY, failure to recover these advances would lead to recovery from non-wage allocations in the following FY.</p>	2
<p>Local revenue administration, allocation and transparency</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the total Council expenditures on allowances and emoluments- (including from all sources) is not higher than 20% of the OSR collected in the previous FY: score 2 	<p>Total expenditure on council allowances and emoluments during FY 2018/2019 UGX 222,913,000.</p> <p>Local Service Tax collected during FY 2017/2018 as UGX 1,136,033,334.</p> <p>Percentage:</p> <p>$\frac{222,913,000}{1,136,033,34} \times 100$</p> <p>1,136,033,34.</p> <p>Percentage = 19.6%.</p> <p>Council expenditure was within the stipulated limits.</p>	2
Procurement and contract management			
<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the District has the position of a Senior Procurement Officer and Procurement Officer (if Municipal: Procurement Officer and Assistant Procurement Officer) substantively filled: score 2 	<p>The Mbarara DLG PDU was manned by a Senior Procurement Officer; Mwije Dinah who was appointed on 16th April, 2014 by letter CR/D/20770 as was directed by Min. No. 52/04/2014 (xi).</p> <p>The team was informed that following the sharing of staff between Mbarara DLG (mother District) and Rwampara (new District) in July, 2019, the Procurement was sent to Rwampara creating a human resources gap. Mbarara District had through letter CR/160/ of 17th October, 2019 sought clearance from MoPS to recruit because the wage available can absorb the proposed staff to be recruited.</p>	2

<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the TEC produced and submitted reports to the Contracts Committee for the previous FY: score 1 	<p>The appointed Evaluation Committees for the procurements during FY 2018/2019 produced evaluation reports with technical and clear recommendations to the Contracts Committee for instance;</p> <p>The Reports of the Evaluation Committee were submitted to the Contracts Committee during FY 2018/2019 on the following dates;</p> <p>The Evaluation report for Procurement No. MBAR537/Wrks/2018-2019/00005; Extension of Rubaya Mini-solar Powered Water System. The EC was appointed on 25th September, 2018 comprising of Mucunguzi Joseph the DWO, Mwije Dinah the Procurement Officer and Asiimwe Sylvia Sub county Assistant.</p> <p>The EC report of 1st November, 2018 recommended M/s Daikam Technologies Limited at UGX 71,741,817 as the best evaluated bidder,</p> <p>The Evaluation report for Procurement No. MBAR537/Wrks/2018-2019/00003; Drilling and Installation of nine Boreholes at Kituufu, Rushenyi, Orubaare, Kashare II, Kitongore, Ruburara-1, Akaihamba -1, Rwabaranga and Nyarubungo was evaluated by Eng. Mwebaze Emmanuel the DE, Mwije Dinah the Procurement Officer and Ndyamba Mathew who were appointed by the CC on 25th September, 2018 under Min. No. 27/09/2018/19 (j)</p> <p>In its report of 1st November, 2018, the EC recommended M/s KLR Uganda Limited as the best evaluated bidder at UGX 184,533,120.</p> <p>The Evaluation report for Procurement No. MBAR537/Wrks/2018-2019/00012; Construction of 2-classroom at Kitojo P/s was evaluated by the District Eng. Mwebaze Emmanuel, Inspector of Schools Mbabazi Edward and Mwije Dinah Procurement Officer who were appointed by the CC on 25th September, 2018 under Min. No. 27/09/2018/19 (f)</p> <p>In its report of 1st November, 2018, the EC recommended M/s MM Development Uganda Limited as the best evaluated bidder at UGX 73,659,730.</p>	<p>1</p>
<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the Contracts Committee considered recommendations of the TEC and provide justifications for any deviations from those recommendations: score 1 	<p>The Contracts Committee considered recommendations of the EC . During the award of contracts implemented in the previous FY, the Contracts Committee never deviated from the recommendations of the EC as indicated in below;</p> <p>In its reports dated 1st November, 2018 recommended M/s Daikam Technologies Limited at UGX 71,741,817 as the best evaluated bidder,</p> <p>The CC on 2nd November, 2018 through minute Min. 66/11/2018/2019 (c) upheld the recommendations of the EC and awarded the Contracts to; M/s DAIKAM Technologies Limited at UGX 71,741,817.</p> <p>In its reports dated 1st November, 2018 recommended M/s MM Development Uganda Limited at UGX 73,659,730 as the best evaluated bidder,</p> <p>The CC on 2nd November, 2018 through minute Min. 66/11/2018/2019 (e) upheld the recommendations of the EC and awarded the Contracts to; M/s MM Development Limited at UGX 73,659,730.</p>	<p>1</p>

<p>The LG has a comprehensive Procurement and Disposal Plan covering infrastructure activities in the approved AWP and is followed.</p> <p>Maximum 2 points on this performance measure.</p>	<ul style="list-style-type: none"> • a) Evidence that the procurement and Disposal Plan for the current year covers all infrastructure projects in the approved annual work plan and budget and b) evidence that the LG has made procurements in previous FY as per plan (adherence to the procurement plan) for the previous FY: score 2 	<p>The Procurement and Disposal Plan (PDP) for FY 2019/20 covered all infrastructure projects in the FY 2019/20 approved AWP, for instance;</p> <p>Extension of Kashare Mini-solar powered water supply on page 42 of the LG AWP FY 2019/20 at UGX 69,602,312 and on page 28 of 58 of item No. 3 under Water projects,</p> <p>Drilling and installation of seven Hand pump Boreholes in Rubindi, Rubaya, Rwayamahembe, Kashare and Bukiuro page 42 of the LG AWP FY 2019/20 at UGX 140,894,360 and on page 28 of 58 of item No. 4 under Water projects,</p> <p>Construction of a 2-classroom block at Rukanja Primary school on page 29 of the LG AWP FY 2019/20 and item 7 under the Education sector projects of the Procurement and Disposal Plan at UGX 66,222,000,</p> <p>Construction of a 2-classroom block at Rubindi Primary school on page 29 of the LG AWP FY 2019/20 and item 8 under the Education sector projects of the Procurement and Disposal Plan at UGX 55,776,000,</p> <p>Renovation of a staff house at Bubaare HC III Bubaare Sub county on page 24 of the LG AWP FY 2019/20 at 97,246,000 and Item No. 2 under the Health Sector projects,</p> <p>Construction of OPD in Bubaare Sub county Phase I on page 28 of 58 and items 1 & 2 of the Procurement and Disposal Plan and on page 41 of the AWP at 59,099,000.</p> <p>The Procurement Disposal Plan for FY 2018/19 corresponded well with the respective AWP as exemplified below;</p> <p>Extension of Rubaya Mini-solar Powered Water System on page 51 of the LG Approved Budget Estimates FY 2018/19 and was project No. 3 under the Water sector projects in the Procurement and Disposal Plan a UGX 81,</p> <p>Drilling and Installation of nine Boreholes at Kituufu, Rushenyi, Orubaare, Kashare II, Kitongore, Ruburara-1, Akaihamba -1, Rwabaranga and Nyarubungo on page 50 of the LG Approved Budget Estimates FY 2018/19 and item No. 5 under the Water sector projects at UGX 202,500,000,</p> <p>Construction of a 2-classroom block at Kitojo P/s on page 38 of the LG Approved Budget Estimates FY 2018/19 and item No. 3 under Education Sector projects,</p> <p>Repair and Extension of Bwizibwera HC IV Maternity Ward on page 24 of the LG Approved Budget Estimates FY 2019/20 and listed as item No. 9 under the Health sector projects of the PDP at UGX 240,000,000.</p>	
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<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • For current FY, evidence that the LG has prepared 80% of the bid documents for all investment/infrastructure by August 30: score 2 	<p>The LG had prepared all the 35 bids for the infrastructure set out to be implemented in the FY 2019/20 by 30th August, 2019. The Bids were prepared and presented to the Contracts Committee and were approved on 27th August, 2019 through minute Min. No. 13/08/2019/2010 under agenda 07. The bids that had been prepared and sold out included;</p> <p>Extension of Kashare Mini-solar power water supply sold out to M/s Daikam Technologies Limited and contract was signed on 24th October, 2019,</p> <p>Drilling and Installation of 7 Hand Pumps sold out to M/s KLR Uganda Limited and contract was signed on 31st October, 2019</p> <p>Drilling and Installation of two Production wells in Nyarubungo and Buliro sold to M/s KLR Uganda Limited and contract signed on 31st October, 2019</p> <p>Siting and Supervision of hand pump Boreholes in Rubaya, Rubindi, Rwanyamahembe, Kashare, Bukiro sub counties and siting and supervision of two Production wells sold to M/s ROK Technical Services Limited and contract signed on 4th October, 2019</p> <p>Construction of OPD at Bubaare sub county sold to M/s Muhwezi Abert Construction Limited and the Contract was signed on 5th November, 2019</p> <p>Construction of a 2-classroom block at Bunenero P/s</p> <p>Construction of a 2-classroom block at Karuyenje P/s</p> <p>Construction of a 2-classroom block at Rukanja P/s</p> <p>Construction of a 2-classroom block at Rubindi P/s</p> <p>Construction of a 2-classroom block, Office and store at Bwizibwera P/s</p>	<p>2</p>
<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • For Previous FY, evidence that the LG has an updated contract register and has complete procurement activity files for all procurements: score 2 	<p>The LG prepared and kept an up dated Assets' register capturing all the completed Projects for FY 2018/19 using a black counter book. The Contracts register was up to date indicating the inclusion of the supply of Irrigation materials on 16th June, 2019 at UGX 16,683,000.</p> <p>The register captured projects for FY 2019/20 for instance the Construction of a 2-classroom block at Bwizibwera P/s.</p>	<p>2</p>

<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> For previous FY, evidence that the LG has adhered with procurement thresholds (sample 5 projects): score 2. 	<p>All the procurements undertaken during the FY under review complied with Regulation 33(3) of the LGs (Public Procurement and Disposal of Public Assets) Regulations 2006 Thresholds for procurement of Works (1a and 1b), thresholds for procurement of supplies and services (2a and 2b), micro procurement (3) and community purchase (4).</p> <p>Mbarara DLG Contracts Committee implored two procurement methods; Open Domestic and Restrictive/Selective bidding. The methods were approved under minute No. 25th September/2018 vide minute Min. No. 27/09/2018/19.</p> <p>The projects implemented through Open Domestic Bidding were advertised in the New Vision News Papers of Thursday 27th September 2018 on page 26. The projects included;</p> <p>Extension of Rubaya Mini-solar Water System which was contracted to M/s Daikam Technologies Ltd at UGX 71,741,817</p> <p>Drilling and Installation of nine Boreholes at Kituufu, Rushenyi, Orubaare, Kashare II, Kitongore, Ruburara-1, Akaihamba -1, Rwabaranga and Nyarubungo contracted out to M/s KLR Uganda Limited at UGX 184,533,120</p> <p>Construction of 2-classroom block at Kitojo PS at UGX 73,659,730.</p> <p>Repair and extension of Bwizibwera HC IV at UGX 216,015,473</p> <p>The contracts that were procured through Selective/Restricted bidding adopted on 5th September, 2018 vide Min. No. 012/September/2018 for instance</p> <p>The repair of Theatre at Mwizi HC IV contracted to M/s ESMO Holding Limited at UGX 25,525,170. M/s ESMO was Contractor No. 2 on page 2 of the Prequalification list for 2018/19, 2019/20 and 2010/21 approved by the Contracts Committee on 19th June, 2018 under Min. No. 200/06/2017/2018 agenda 04</p>	2
<p>The LG has certified and provided detailed project information on all investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that all works projects implemented in the previous FY were appropriately certified – interim and completion certificates for all projects based on technical supervision: score 2 	<p>There was evidence that the LG certified and provided detailed project information on all investments as per the examples below;</p> <p>Payment was made to M/s MM Development for contract for the construction of 2 classroom block at Kitojo P/s in Bugamba Sub County. The contract price was UGX 73,659,730. The claim for payment was dated 30h January, 2019 and the certificate of civil works was dated 4th February, 2019. District Engineer verified the works on 7th February, 2019 while the DEO verification was dated 8th February, 2019. CAO approved payment on 8th February, 2019 and the payment voucher as made on 11th February, 2019.</p> <p>Payment to M/s Gremu Trading Co. Ltd., for first contract for repair and part extension of maternity ward at Bwizibwera HC IV. The contact price was UGX 172,487,000. The claim for payment was dated 7th February, 2019. Verifications and recommendations were dated; District Engineer and DHO (28th February, 2019). CAO approved payment on 5th March, 2019. Payment voucher was dated 9th March, 2019.</p> <p>A claim of UGX 71,741,817 by M/s Daikam Technologies Ltd dated 30th January, 2019 for extension of Rubaya Mini solar powered piped water system Contract No: MBAR537/WRKS/2018-2019/00005 was certified by DWO on 14th February, 2019. Payment voucher was dated 19th February, 2019. Payment was made 19th February, 2019</p>	2

<p>The LG has certified and provided detailed project information on all investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all works projects for the current FY are clearly labelled (site boards) indicating: the name of the project, contract value, the contractor; source of funding and expected duration: score 2 	<p>There was clear labelling of works projects for instance;</p> <p>The project for the construction of two classroom block at Omunkiri PS in Rugando Sub County was clearly labelled the information displayed which indicated among others the Contractor as M/s Kwed Construction Ltd funding source; DDEG and the overall cost was UGX 69,101,130</p>	<p>2</p>
<p>Financial management</p>			
<p>The LG makes monthly and up to-date bank reconciliations</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the LG makes monthly bank reconciliations and are up to-date at the time of the assessment: score 4 	<p>The LG had computerized its reconciliation statements and these Bank reconciliation statements were prepared on a monthly basis including the General Ledger Reconciliation Summary dully authenticated by relevant Bank authorities. It was maintained efficiently up to closure of FY 2018/2019.</p> <p>The LG generated the General Ledger cash position. It also indicated the bank statement closing balance as on end of month. For example, the latest adjusted bank statement balance for 31st October, 2019 was UGX 335,085,992. The LG Bank account was held at Stanbic Bank – Mbarara Branch. The authenticated bank reconciliation statements were printed out by CFO.</p>	<p>4</p>
<p>The LG made timely payment of suppliers during the previous FY</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • If the LG makes timely payment of suppliers during the previous FY – no overdue bills (e.g. procurement bills) of over 2 months: score 2. 	<p>The Council used EFT payment system in all settlements and all the sampled payments on file were payment vouchers for contracts under Education, Water and Health sectors which were made on time within 30 days. Some examples were as follows:</p> <ul style="list-style-type: none"> • Under Education, payment was made to M/s Kwed Construction Ltd., for contract for construction of two classroom block at Omunkiri PS in Rugando Sub County. The contract price was Shs 69,101,130. The claim letter for payment was dated 29th March, 2019 and the verification and recommendations were made by DEO, District Engineer (9th April, 2019). The certificate of civil works was dated 2nd April, 2019. CAO approved the payment on 10th April, 2019. Payment voucher was dated 16th April, 2019. • Under Education, payment was made to M/s MM Development for contract for the construction of 2 classroom block at Kitojo OS in Bugamba Sub County. The contract price was UGX 73,659,730. The claim for payment was dated 30h Jan., 2019 and the certificate of civil works was dated 4th Feb., 2019. District Engineer verified the works on 7th Feb., 2019 while the DEO verification was dated 8th Feb., 2019. CAO approved payment on 8th Feb., 2019 and the payment voucher as made on 11th Feb., 2019. • Under Health, payment was made to M/s Gremu Trading Company Ltd. for contract for the renovation and extension of Bwizibwera HC IV maternity ward in Bwizibwera – Rutooma Town Council. The contract price was UGX 72,186,803. the claim for payment was dated 11th Jun., 2019 and was verified and recommended by District Health Officer and District Engineer (11th June, `2019). CAO approved the payment on 12th Jun., 2019. Payment was effected on 13th June, 2019. • Under Water, payment was made to M/s Daikam Technologies Ltd., for contract for extension of Rubaya mini-solar powered water supply in Rubaya Sub County. The claim for payment was dated 30th Jan., 2019. Verification and recommendation were made by DWO and District Engineer were dated 14th February, 2019. CAO's approval was dated 18th February, 2019. Payment voucher was dated 19th February, 2019. Payment was made 19th February, 2019. 	<p>2</p>

<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the LG has a substantive Senior Internal Auditor: 1 point. • LG has produced all quarterly internal audit reports for the previous FY: score 2. 	<p>LG council had a senior Internal Auditor (Mr. Moses Ashaba) at U3 level. He holds a BSc. (Accounting and Finance) awarded from Kyambogo University in 2006. He also holds a Graduate Diploma (Financial Management awarded from UMI in 2012. He had been DIA since 2014.</p>	<p>1</p>
<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • LG has produced all quarterly internal audit reports for the previous FY: score 2. 	<p>Quarterly Internal Audit Reports for FY 2018/2019 were prepared on the following dates;</p> <p>1st Quarter on 12th November, 2018</p> <p>2nd Quarter on 1st February, 2019</p> <p>3rd Quarter on 31st May, 2019, and</p> <p>4th Quarter on 26th August, 2019</p>	<p>2</p>
<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<p>Evidence that the LG has provided information to the Council and LG PAC on the status of implementation of internal audit findings for the previous financial year i.e. follow up on audit queries from all quarterly audit reports: score 2.</p>	<p>All queries raised by the internal were convincingly taken care of and information was provided to Council & LG PAC on Internal Audit Queries raised and action during FY 2018/2019. The CAO and PAC received from the DIA, the quarterly internal Audit reports as required on various dates and followed up on audit queries as necessary.</p>	<p>2</p>

<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and LG PAC has reviewed them and followed-up: score 1. 	<p>Action by the Accounting Officer, LG PAC on Internal Audit Queries raised during FY 2018/2019. The internal audit reports were submitted as required. The internal audit reports were discussed by DPAC. The Public Accounts committee met and discussed issues raised in IAR, Q1 for FY 2019/2019 on 13th to 14th May, 2019, under Minute No.: DPAC/MDLG/17/05/2019. The issues discussed include; unaccounted for funds under Education, Health and Water sectors, lack of acknowledged funds for HCs (Shs 105,903,249). IAR for Q2 – Q4 were discussed and the end of FY 2018/2019 in the new FY 2019/2020 due to lack of funds on 24th -25th July, 2019, under Minute No.: PAC/MDLG/02/07/2019. Various issues raised by the IAR were discussed including poor accounting controls in schools (e.g Nyamitanga Secondary School, Shs 17,000,000 not accounted for), funds received were spent without budget (most schools), un-updated books of account (at many HCs), procurement irregularity (in schools), delayed project implementation (Water sector), drugs stock outs (Bubare HC III), expired drugs not disposed of, unutilized funds at Water sector (Shs 22,200,000), lack of approved Board of Governors in many schools. All these matters were followed up in DPAC reports submitted to CAO on various dates for further action</p>	1
<p>The LG maintains a detailed and updated assets register</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the LG maintains an up- dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual: score 4 	<p>The Council operated a manual assets' register during FY2018/2019. The manual asset register was detailed enough and was updated regularly for land, furniture and fittings, machinery and equipment, heavy plants. The Council was compliant with regulations regarding maintenance of the asset's registers. The Board of Surveys report of FY 2018/2019 showed the details of all district assets, locations and their conditions and recommendations for future handling and/or maintenance.</p>	4
<p>The LG has obtained an unqualified or qualified Audit opinion</p> <p>Maximum 4 points on this performance measure</p>	<p>Quality of Annual financial statement from previous FY:</p> <ul style="list-style-type: none"> • Unqualified audit opinion: score 4 • Qualified: score 2 • Adverse/disclaimer: score 0 	<p>The Auditor General's Opinion on the Financial statements of Mbarara DLG for the Financial Year ended 30th June, 2019 was Unqualified.</p>	4
<p>Governance, oversight, transparency and accountability</p>			

<p>The LG Council meets and discusses service delivery related issues</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that the Council meets and discusses service delivery related issues including TPC reports, monitoring reports, performance assessment results and LG PAC reports for last FY: score 2 	<p>The Mbarara district LG Council met and discussed service delivery related issues as provided in the minutes below:</p> <ul style="list-style-type: none"> Quarter 1 : meeting held on 30th.August, 2018 and under Minute No. COU03/08/2018, the Council discussed: <ul style="list-style-type: none"> (i) Presentation and approval of names of Commissioners to Statutory bodies (District Service Committee) (ii) The declaration of Mbarara District as a tourist City. (iii) Presentation of a supplementary budget of UGX 1,869,727,991 and UGX 28,465,462 Quarter 2: meeting held on 2nd November, 2018 under Minute No. COU17/11/2018, the Council discussed: <ul style="list-style-type: none"> (i) Presentation of approval of supplementary budgets of UGX 200,854,682/- (ii) Creation of new administrative of Bukiro T.C, Kitozo S/C, Nyabikungu S/C and the addition of Rwebishekye and Katyazo parishes to Bwizibwera Rutooma Town Council. Quarter 3: meeting held on 14th March, 2019 under Minute No. COU/37/03/2019, the Council discussed; <ul style="list-style-type: none"> (i) Presentations and Recommendations of Sectoral Committee reports of (i) Works and Technical Services, (ii) Social Services Committee, (iii) Community based services, (iv), Production, marketing and natural resources. (ii) Presentation of the creation of the creation of new lower local governments and sub divisions of Nombe, Kyenjojo, omumabaare parishes and the creation of Ncune S/C and Nyabisiira Town Council Quarter 4: meeting held on 23rd May, 2019 under Minute No. COU/51/05/2019, the Council discussed: <ul style="list-style-type: none"> (i) State of the District address by the Chairperson of the district for FY 2018/19, (ii) Under COU/53/05/2019 discussed and approved sectoral work plans for financial 2019/20 (iii) Under Min. COU/54/05/2019, discussed the approval of Budgetary Estimates, Annual work plan for Mbarara and Rwampara DLG for FY 2019/2020. 	<p>2</p>
<p>The LG has responded to the feedback/ complaints provided by citizens</p> <p>Maximum 2 points on this Performance Measure</p>	<ul style="list-style-type: none"> Evidence that LG has designated a person to coordinate response to feed-back (grievance/complaints) and responded to feedback and complaints: score 1. 	<p>Mbarara DLG had Mr. Tushabe Fred Rugara as the designated officer vide letter dated 4th April, 2019 Ref. CR/151/1 to handle complaints/grievances.</p>	<p>1</p>

<p>The LG has responded to the feedback/ complaints provided by citizens</p> <p>Maximum 2 points on this Performance Measure</p>	<ul style="list-style-type: none"> The LG has specified a system for recording, investigating and responding to grievances, which should be displayed at LG offices and made publically available: score 1 	<p>The Local Government of Mbarara had a system of handling complaints; there was a register, telephone lines 0772694572 and 0701304430 for citizens to call in and service charter extract translated into the Local language; Oine Okwetomboit? Handikisa Okwetomboita Kwaawe...</p>	<p>1</p>
<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<p>Evidence that the LG has published:</p> <ul style="list-style-type: none"> The LG Payroll and Pensioner Schedule on public notice boards and other means: score 2 	<p>The Local Government Notice board had the October, 2019 LG payroll and Pension schedule clearly displayed the LG payroll on 15th October, 2019</p>	<p>2</p>
<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> Evidence that the procurement plan and awarded contracts and amounts are published: score 1. 	<p>PDU had publicized the Procurement Plan for FY 2019/20 dated 5th July, 2019 at the District Notice Board. The Council had not awarded any contract for FY 2019/20.</p>	<p>1</p>
<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year (from budget requirements): score 1. 	<p>A summary of Last FY LG National Assessment performance results was posted on the Notice Board on 23rd August, 2019</p>	<p>1</p>
<p>The LGs communicates guidelines, circulars and policies to LLGs to provide feedback to the citizens</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that the HLG have communicated and explained guidelines, circulars and policies issued by the national level to LLGs during previous FY: score 1 	<p>There was evidence of HLG communication of guidelines, circulars and policies issued by national level to lower local governments seen:</p> <p>Letter dated 27th September, 2018 from CAO to all SASs, Town Clerks on the enforcement of the ban of Kaveera (polythene bags) as directed by the MoLG,</p> <p>Letter from CAO Dated 29th June, 2018 to all sector heads and Subcounty Chiefs on mentoring and training on development planning process, in attendance were DCDO, SAS, CDO and TCs.</p>	<p>1</p>

<p>The LGs communicates guidelines, circulars and policies to LLGs to provide feedback to the citizens</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: score 1. 	<p>There was evidence that the District had conducted discussions with the public to provide the status of activity of implementation on radio talk shows guided by a well laid out schedule like at Endigyito FM (Saturday 9:00 to 10:00am every Saturday), Grace FM (5:00 to 6:00pm every Monday) and Greater African FM (every Sunday 7:00am to 8:00am). The officers tasked to attend these programs are; the RDC, LC V Chairperson and members of LG DEC plus HoDs</p>	<p>1</p>
<p>Social and environmental safeguards</p>			
<p>The LG has mainstreamed gender into their activities and planned activities to strengthen women's roles</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the LG gender focal person and CDO have provided guidance and support to sector departments to mainstream gender, vulnerability and inclusion into their activities score 2. 	<p>Kayumbu William a District Community Based Services Coordinator appointed on 21st July, 1995 as directed by DSC Minute No 187/95 was assigned the duties of the gender focal person on 2nd July, 2018 by the Chief Administrative Officer re letter: CR/D/165/2</p> <p>During the TPC meeting held on 23rd October, 2018 under the Minute No 19/10/2018, the District Community Development Officer and the Senior Probation Officer presented highlighted gender inequality, gender mainstreaming and Orphans and other vulnerable children activities and interventions.</p>	<p>2</p>
<p>The LG has mainstreamed gender into their activities and planned activities to strengthen women's roles</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the gender focal point and CDO have planned for minimum 2 activities for current FY to strengthen women's roles and address vulnerability and social inclusions and that more than 90 % of previous year's budget for gender activities/ vulnerability/ social inclusion has been implement-ted: score 2. 	<p>The LG had planned a minimum of two activities to strengthen women in FY 2019/2020. The Budget for 2019/2020 FY was UGX 2,597,000 page 47 Of the budget estimates. The planned activities were;</p> <ul style="list-style-type: none"> Conducting gender mainstreaming in 5 sub counties. Carrying out sensitisation meetings on property rights and legal marriages. <p>The activities planned for FY 2018/2019 at a Budget of UGX 2,800,000 captured on page 58 of the Budget estimates. The activities included;</p> <ul style="list-style-type: none"> Conducting gender mainstreaming in sub counties at UGX 500,000. Carrying out sensitisation meetings on property rights and legal marriages at UGX 1,100,000. <p>The execution of the Gender budget for FY 2018/19 was below 90% required for a score. Actually, the budget absorption was 57.14%.</p> <p>Actual Expenditure for FY 2018/19 was therefore UGX 1,600,000</p> <p>Percentage execution was =1,600,000 X 100</p> <p style="text-align: center;">2,800,000</p> <p style="text-align: center;">= 57.14%</p>	<p>0</p>

<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that environmental screening or EIA where appropriate, are carried out for activities, projects and plans and mitigation measures are planned and budgeted for: score 1 	<p>There was evidence that Environmental screening was carried out for projects and that mitigation measures are planned for as indicated below.</p> <p>Construction of 2-classroom blocks at Kaiho primary school in Ndeija sub county.</p> <p>Screening form was seen date 15th October, 2018 and signed by Environment officer.</p> <p>Construction of a 2-classroom block with office at Kyamugorani primary school in Kakika division.</p> <p>Screening form seen dated and signed by the Environment officer on 26th October, 2018.</p> <p>Extension of Kyandali Gravity Flow scheme phase II in Kagongi sub county.</p> <p>Screening form seen dated and signed by the Environment officer on 3rd October, 2018.</p> <p>Drilling and installation of boreholes located in Kashare sub county.</p> <p>Screening form seen dated and signed by the Environment officer on 19th December, 2018</p>	<p>1</p>
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG integrates environmental and social management and health and safety plans in the contract bid documents: score 1 	<p>There was evidence that Mbarara LG integrated environmental and social management and health and safety plans in the contract bid documents. For example; Procurement Mbar537/wrks /2018-2019/00009 bid document for construction of 2-Classroom block at Ruhunga primary school in Rubaya sub county indicated that BoQ element No 8 was for Environment restoration at UGX 2,130,000 and Lightening arrestors at UGX 2,592,000.</p>	<p>1</p>
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all projects are implemented on land where the LG has proof of ownership (e.g. a land title, agreement etc.): score 1 	<p>There was evidence projects are implemented on the land where the LG had proof of ownership for instance;</p> <p>Ownership by Title:</p> <ul style="list-style-type: none"> • Bwizibwera HC IV sits on 49.1000 hectares plot 75 blocks 5 at Bwizibwera issued on 12th December, 2014. • Kamukuzi Road stretches from plot 9-13, 1-3, 2-14, and 17-21 issued on 19th April, 2005. • Works yard at the district headquarters sits on 0.8180 hectares plot 80-82 at Kamukuzi issued on 29th April, 2014. • Kakyeka stadium sits on 5.188 hectares plot 6 issued on 12th October, 2000. 	<p>1</p>

<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all completed projects have Environmental and Social Mitigation Certification Form completed and signed by Environmental Officer and CDO: score 1 	<p>There was evidence that the environmental and social mitigation certification forms were signed by Environmental officer and the District Community Development officer for the projects for example: The Construction of a 2-classroom block at Ruhunga primary school in Rubaya sub county was on 30th January 2019.</p>	<p>1</p>
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the contract payment certificated includes prior environmental and social clearance (new one): Score 1 	<p>There was Environmental and social clearance before payments were effected for instance; payment for the construction of 2 classroom block at Kitojo primary school in Bugamba sub county had a clearance prepared and endorsed by Niwagaba David the environment officer and Kayumbu William the DCDO on 11th February, 2019.</p> <p>The payment for the project was made on 11th February, 2019.</p>	<p>1</p>
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that environmental officer and CDO monthly report, includes a) completed checklists, b) deviations observed with pictures, c) corrective actions taken. Score: 1 	<p>There was evidence of monthly and on-spot monitoring reports dated 15th January, 2019 being prepared with completed check lists and deviations observed with pictures being prepared by Environment officer and CDO for the construction of all the 7 primary schools in Mbarara</p>	<p>1</p>

Summary of requirements	Definition of compliance	Compliance justification	Score
Human resource planning and management			
<p>The LG education department has budgeted and deployed teachers as per guidelines (a Head Teacher and minimum of 7 teachers per school)</p> <p>Maximum 8 for this performance measure</p>	<p>• Evidence that the LG has budgeted for a Head Teacher and minimum of 7 teachers per school (or minimum a teacher per class for schools with less than P.7) for the current FY: score 4</p>	<p>The LG Education Department had a final approved work plan for FY 2019/20 with a wage bill of UGX 6,409,581,000 for 759 teachers on ground (i. e 55 substantive H/Teachers, 73 substantive Deputies and the rest being teachers) as per Mbarara District Approved Budget Estimates for FY 2019/20, Vote: 537, Pages 26, dated 18th July, 2019. The number of teachers was not adequate and the recruitment plan indicated a need for 71 more Education Assistants. this was for 85 school as per the staff list.</p> <p>759/85=8.929 teachers per school</p>	4
<p>The LG education department has budgeted and deployed teachers as per guidelines (a Head Teacher and minimum of 7 teachers per school)</p> <p>Maximum 8 for this performance measure</p>	<p>• Evidence that the LG has deployed a Head Teacher and minimum of 7 teachers per school (or minimum of a teacher per class for schools with less than P.7) for the current FY: score 4</p>	<p>The LG had 85 government schools which had teachers on ground (i.e. 55 substantive H/Teachers, 73 substantive Deputies and the rest being teachers) as per the staff list at the DEO's office. The number of teachers were adequate against the number of schools and the students size.</p> <p>The following schools were sampled and visited;</p> <p>Rwishamiro P/s in Rwanyahembe Sub County with a H/Teacher who is not Substantively appointed and 7 teachers</p> <p>Runengo PS in Rwanyahembe Sub County with a Substantively appointed H/Teacher and 9 teachers</p> <p>Rwentoyo PS in Rwanyahembe Sub County with a H/Teacher who is not Substantively appointed and 9 teachers</p> <p>Nyampikye PS in Rwanyahembe Sub County with a Substantively appointed H/Teacher and 10 teachers</p> <p>Bwizibwera Town PS in Rwanyahembe Sub County with a Substantively appointed H/Teacher and 11 teachers.</p>	0
<p>LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 6 for this performance measure</p>	<p>• Evidence that the LG has filled the structure for primary teachers with a wage bill provision</p> <p>o If 100%: score 6</p> <p>o If 80 - 99%: score 3</p> <p>o If below 80%: score 0</p>	<p>The Local government structure required a ceiling of 812 teachers however 759 teachers were on ground because of the inadequate wage bill. The 759 consumed the entire wage bill of UGX 6,409,581,000.</p> <p>759 /759 *100=100% which constitutes 100% utilisation of wage.</p>	6

<p>LG has substantively recruited all positions of school inspectors as per staff structure, where there is a wage bill provision.</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has substantively filled all positions of school inspectors as per staff structure, where there is a wage bill provision: score 6 	<p>The staff structure had a provision for two positions of the District Education inspectorate. During the Financial Year under review, Mbarara District had a Senior Inspector Schools and an Inspectors of Schools. But following the creation of Rwampara District, which called for sharing of staff between Mbarara and Rwampara, the Inspector of Schools moved to the new District of Rwampara. Therefore, at the time of assessment it was only the Senior Inspector of Schools' file that was reviewed and records indicated;</p> <p>1. One Inspector of Schools as per the appointment letter under the names of; Ms. Ayebazibwe Kellen, dated 9th February, 2006, Ref. CR/D/10391 under Min No. 132/11/2005(1)(b).</p> <p>The CAO has initiated the process to fill up the vacancies that resulted from sharing of staff with Rwampara by seeking clearance from MoPS in a letter Ref. CR/160 of 17th October, 2019 received on 23rd October, 2019. The indicated that UGX 1,025,727,090 wage bill was available and could absorb the proposed key vacancies earmarked as critical and needing immediate attention. The letter was copied to; MoLG and MoFPED.</p> <p>Since there are efforts to recruit given the justification provided, the DLG gets its score of 6.</p>	6
<p>The LG Education department has submitted a recruitment plan covering primary teachers and school inspectors to HRM for the current FY.</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of</p> <ul style="list-style-type: none"> Primary Teachers: score 2 	<p>The LG Education Department submitted a Recruitment Plan for FY 2019/20 to the CAO on 18th May, 2019, for 195 teachers(Education Assistants 11) and for other vacancies below;</p> <ul style="list-style-type: none"> 30 Head Teachers 12 Deputy Head Teachers 71 Senior Education Assistant 11 <p>The post for School Inspector of Schools was wasn't included in the recruitment plan because Rwampara DLG hadn't yet been created out of Mbarara DLG and Mbarara DLG had the two required inspectors of school. When Rwampara was created on 1st July, 2019, one of the inspectors was taken and so Mbarara DLG put in a special recruitment letter/plan specifically for an Inspector of Schools on 22nd November 2019.</p>	2
<p>The LG Education department has submitted a recruitment plan covering primary teachers and school inspectors to HRM for the current FY.</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of</p> <ul style="list-style-type: none"> School Inspectors: score 2 	<p>The LG Education Department submitted a Recruitment Plan for FY 2019/20 to the CAO on 18th May, 2019, for the vacancies below;</p> <ul style="list-style-type: none"> 30 Head Teachers, 12 Deputy Head Teachers, 71 Senior Education Assistant 11, 195 Education Assistant 11, <p>The post for School Inspector of Schools was wasn't included in the recruitment plan because Rwampara DLG hadn't yet been created out of Mbarara DLG and Mbarara DLG had the two required inspectors of school. When Rwampara was created on 1st July, 2019, one of the inspectors was taken and so Mbarara DLG put in a special recruitment letter/plan specifically for an Inspector of Schools on 22nd November 2019.</p>	2
Monitoring and Inspection			

<p>The LG Education department has conducted performance appraisal for school inspectors and ensured that performance appraisal for all primary school head teachers is conducted during the previous FY.</p> <p>Maximum 6 for this performance measure</p>	<p>Evidence that the LG Education department has ensured that all head teachers are appraised and has appraised all school inspectors during the previous FY</p> <ul style="list-style-type: none"> • 100% school inspectors: score 3 	<p>During the Financial Year under review, Mbarara District had a Senior Inspector Schools and an Inspectors of Schools. However, following the creation of Rwampara District, which called for sharing of staff between Mbarara and Rwampara, the Inspector of Schools moved to the new District of Rwampara. Therefore, at the time of assessment it was only the Senior Inspector of Schools' file that was reviewed and appraisal records indicated;</p> <ul style="list-style-type: none"> • The Senior Inspector of Schools, Ms. Ayebazibwe Kellen was appraised by the DEO, Ahimbisibwe Gabriel Kyabaiza on 15th July, 2019, 	<p>3</p>
<p>The LG Education department has conducted performance appraisal for school inspectors and ensured that performance appraisal for all primary school head teachers is conducted during the previous FY.</p> <p>Maximum 6 for this performance measure</p>	<p>Evidence that the LG Education department has ensured that all head teachers are appraised and has appraised all school inspectors during the previous FY</p> <ul style="list-style-type: none"> • Primary school head teachers <ul style="list-style-type: none"> o 90 - 100%: score 3 o 70% and 89%: score 2 o Below 70%: score 0 	<p>The PAT sampled five Head teacher's files of appraisal and reviewed them to ascertain evidence of Appraisal by their respective Senior Assistant Secretaries. The review indicated that all the five were by their respective Senior Assistant Secretaries (SAS) and Town Clerks in the last calendar year;</p> <p>Boroba James Kenneth the Headteacher of Rwobuguigo P/S was appraised on 26th March, 2019 by Mutatiima Alex the SAS Kashare Sub county,</p> <p>Katsigare Benon the Headteacher Kyamatambaire P/S was on 26th September, 2019 by Kanyesiye Rachael the SAS Rubaya Sub county,</p> <p>Kiconco Betty the Headteacher Katooma II P/S was appraised on 26th September, 2019 by Kibetenga Monica the SAS Bubaare Sub county,</p> <p>Edrida Mujuzu Atwiine the Headteacher Bwizibwera Town School was appraised on 27th February, 2019 by Mugumya Nelson, Town Clerk Bwizibwera, and</p> <p>Lwanga Narsis the Headteacher of Kyakatara P/S was appraised on 1st October, 2019 by Thursday Nathan, the SAS Rubindi Sub county</p>	<p>3</p>

<p>The LG Education Department has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to schools</p> <p>Maximum 3 for this performance measure</p>	<p>• Evidence that the LG Education department has communicated all guidelines, policies, circulars issued by the national level in the previous FY to schools: score 1</p>	<p>There was evidence that the LG Education Department communicated guidelines, policies, circulars issued by the national level in the previous FY.</p> <p>From the DEO's office;</p> <p>MoES letter to the District Inspector of Schools on Enforcement of Health and Safety Standards in schools, dated 11th December, 2018, Ref. DES/124/18.</p> <p>MoES to the Education Department on Teacher Management Information Systems (TMIS), dated 10th December, 2018, Ref. ADM/48/315/01.</p> <p>Circular No.16/2019 from MoES TO ALL CAOs on Information to District Education Officers, District Inspectors of Schools, CCTS and Head Teachers of All Government Primary Schools, dated June 19th, 2019, Ref. ADM/48/90/01.</p> <p>MoES letter to all CAOs on Enrollment Data Used for Allocation of Capitation Grants in FY2018/19, dated 4th September, 2018, Ref. EPD 192/335/01.</p> <p>DEO circular to the all Head Teachers on Guidelines on School Charges, dated 23rd October, 2018, Ref. EDUC/315/01.</p> <p>This was evident at the schools visited and they were;</p> <p>Rweishamiro P/s in Rwanyahembe Sub County with a letter from the DEO to all head teachers on invitation to attend beginning of term 111 2018, dated 14th September 2018, Ref. EDUC/212/1.</p> <p>DEO circular to the all Head Teachers on Guidelines on School Charges, dated 23rd October, 2018, Ref. EDUC/315/01.</p> <p>MoES Budgeting and implementation Guidelines for Primary and Secondary Schools, dated May 2019.</p> <p>Runengo PS in Rwanyahembe Sub County with a MoES Budgeting and implementation Guidelines for Primary and Secondary Schools, dated May 2019.</p> <p>Rwentoyo PS in Rwanyahembe Sub County with a MoES Budgeting and implementation Guidelines for Primary and Secondary Schools, dated May 2019.</p> <p>Letter from CAO to all head teachers on funding of co-curriculum activities in primary schools, dated 2nd October, 2018, Ref.CR/103/1.</p> <p>Nyampikye PS in Rwanyahembe Sub County with a MoES Budgeting and implementation Guidelines for Primary and Secondary Schools, dated May 2019.</p> <p>Bwizibwera Town PS in Rwanyahembe Sub County with a MoES Budgeting and implementation Guidelines for Primary and Secondary Schools, dated May 2019.</p> <p>Letter from CAO to all head teachers on funding of co-curriculum activities in primary schools, dated 2nd October, 2018, Ref.CR/103/1.</p>	<p>1</p>
<p>The LG Education Department has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to schools</p> <p>Maximum 3 for this performance measure</p>	<p>• Evidence that the LG Education department has held meetings with primary school head teachers and among others explained and sensitised on the guidelines, policies, circulars issued by the national level: score 2</p>	<p>There was evidence that the LG Education department held meetings with primary school head teachers and among others explained and sensitised on the guidelines, policies, circulars issued by the national level as evidenced below;</p> <p>Minutes of head teachers planning meeting for Term III, 2018 held on 21st September 2018 under min 4/2018 where a representative of SNV/Ministry representative mentioned that the ministry had issued guidelines on school feeding and intervention programme. Head teachers were requested to stage a massive campaign on school feeding.</p> <p>Minutes of staff meeting held on 30th April 2019 min. 02/04/2019 where the DEO explained the circular on the issue of examination bureaus and school charges.</p>	<p>2</p>

<p>The LG Education De- partment has effectively inspected all registered primary schools2</p> <p>Maximum 12 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all licenced or registered schools have been inspected at least once per term and reports produced: o 100% - score 12 o 90 to 99% - score 10 o 80 to 89% - score 8 o 70 to 79% - score 6 o 60 to 69% - score 3 o 50 to 59 % score 1 o Below 50% score 0. 	<p>There was evidence that the schools were inspected at least once per term as seen in the documents in the DEO's office and the sampled schools.</p> <p>From DEO's office;</p> <p>1st & 2nd Quarter school inspection was done in Term 111, 2018, dated 30th November, 2018.</p> <p>These two quarters are usually submitted together because according to the DEO, schools follow the term system so the MoES decided to combine these first two quarters.</p> <p>3rd Quarter school inspection was done in Term 1 2019, dated 3rd May, 2019.</p> <p>4th Quarter school inspection was done in Term 11 2019, dated 27th August,2019.</p> <p>There was evidence that schools were inspected as seen in the visitor's books and school monitoring and inspection books of the schools visited;</p> <ul style="list-style-type: none"> • Rwishamiro P/s inspected on 8th August, 2018 by Mr. Gabriel Ahimbizibwe, on 15th October, 2018 by Ms. Kellen Ayebazibwe, on 13th February, 2019 (feedback report available), 18th June, 2019 by Mr. Mbabazi Chris, on 12th June, 2019 by Mr. Musinguzi Lawrence, on 25th June, 2019 (feedback report available) by Mr. Mbabazi Edward. • Runengo P/s inspected on 8th August, 2018 by Mr. Gabriel Ahimbizibwe, on 15th October, 2018, 2nd February, 2019 by Ms. Kellen Ayebazibwe, on 29th October, 2018, 20th June, 2019 (feedback report available) by Mr. Mbabazi Edward, on 9th November, 2018, 29th March, 2019 by Mr. Kenneth Murangira, on 13th February, 2019 by Mr. Mbabazi Chris. • Rwentoyo P/s inspected on 8th August, 2018 by Mr. Gabriel Ahimbizibwe, on 1st October, 2018 (feedback report available, 12th February, 2019 (feedback report available) by Mr. Mbabazi Chris, 15th October, 2018 by Ms. Kellen Ayebazibwe, 16th November, 2018 by Mr. Musinguzi Joseph, on 25th April, 2019, 24th June, 2019 (feedback report available) by Mr. Mbabazi Edward. • Nyampikye P/s inspected on 26th July, 2018, 19th February, 2019 by Mr. Mbabazi Chris, 8th August, 2018 by Mr. Gabriel Ahimbizibwe, on 15th November, 2018 (feedback report available) by Mr. Kenneth Murangira, on 21st June, 2019 (feedback report available) by Mr. Mbabazi Edward. • Bwizibwera Town P/s inspected on 23rd August, 2018 Mr. Gabriel Ahimbizibwe, 8th October, 2018 by Ms. Kellen Ayebazibwe, on 28th October, 2018 by Mr. Tumusiime John Bosco, on 15th November, by Mr. Kenneth Murangira, on 13th February, 2019 Mr. Mbabazi Chris, on 26th March, 2019 by Mr. Mwesigye James, and on 20th June, 2019 by Mr. Mbabazi Edward. 	12
<p>LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the Education department has discussed school inspection reports and used reports to make recommendations for corrective actions during the previous FY: score 4 	<p>There was evidence that school inspection reports were discussed and used to make recommendations for corrective actions as seen below;</p> <p>From DEO's office;</p> <p>Minutes of staff meeting held on 28th September, 2018 Min. 06/09/2018 where the District Education Officer gave a report on school inspection</p> <p>Minutes of staff meeting held on 22nd November, 2018 Min. 05/11/2018 where the senior education officer presented finding on school inspection. For Term III, 2018.</p>	4

<p>LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department has submitted school inspection reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 	<p>There was evidence that the Education Department submitted school inspection reports for Quarter 1 & 2, 3 & 4 to the DES MoES Kampala Office and an acknowledgement letter showing date of submission as indicated below;</p> <p>1st & 2nd Quarter school inspection reports done in Term III, 2018 submitted to DES MoES Kampala Office on 14th February, 2019. These two quarters are usually submitted together because according to the DEO, schools follow the term system so the MoES decided to combine these first two quarters.</p> <p>3rd Quarter school inspection report for FY 2018/2019 was done in Term 1 2019 submitted to DES MoES Kampala Office on 10th May, 2019.</p> <p>4th Quarter school inspection report was done in Term II 2019 submitted to DES MoES Kampala Office on 14th October, 2019.</p>	<p>2</p>
<p>LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the inspection recommendations are followed- up: score 4. 	<p>There was evidence that the inspection recommendations are followed-up as evidenced below;</p> <p>Minutes of staff meeting held on 22nd November, 2018 Min. 03/11/2018 where the secretary for Social services mentioned that cases of alcoholism and absenteeism of teachers especially in Mwizi Sub County, and informed the meeting that these cases will be handled administratively. Under Min. 06/11/2018 it was mentioned that some teachers and head teachers had cases of misconduct and they had been given warning letters and submitted to rewards and sanctions committee, transfers and demotions of caretaker headteachers.</p> <p>Letter from CAO the through the DEO to Turyasiima John on Severe Reprimand, dated 28th August, 2018, Ref. MBR/T/391.</p> <p>Letter from CAO the through the DEO to Mr. Nabimanya Onesbert on Severe Reprimand over chronic alcoholism, dated 10th January, 2019, Ref. EDU /D/26866.</p> <p>Letter from CAO the through the DEO to Ms. Kamidi Annah on Severe Reprimand over chronic absenteeism and neglect of duty, dated 23rd January, 2019, Ref. EDU /D/19543.</p> <p>Letter from CAO to Mr. Nuwagaba Fudel on sermon to record a statement for a defilement case Ref.No.06/9/10/2018, dated 12th March, 2019, Ref. CR/157/1.</p> <p>Letter from CAO through DEO to Mr. Saasira Gyavira on Abandonment of Duty, dated 14th March, 2019, Ref. EDU/D/290669.</p> <p>Letter from the DEO to Ms. Kembabazi Angella on Late Coming, dated 26th November, 2018, Ref. EDU/157/1.</p> <p>Letter from the DEO to Mr. Kakuba Denis on Unprofessional Conduct, dated 17th June, 2019, Ref. EDU/157/1.</p> <p>Letter from Rukandagye PS to DEO on Defilement of Ampaire Jovine on 10th April 2019, dated 12th April, 2019.</p>	<p>4</p>

<p>The LG Education department has submitted accurate/consistent reports/date for school lists and enrolment as per formats provided by MoES</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has submitted accurate/consistent data: o List of schools which are consistent with both EMIS reports and PBS: score 5 	<p>A list of schools which was consistent with EMIS report and PBS was available and it showed 85 schools as per the template for capturing Pupil Enrolment by Schools for FY 2019/20 for Mbarara District Local Government submitted to the office of the Commissioner Education Planning MoES on 12th September, 2019.</p>	5
<p>The LG Education department has submitted accurate/consistent reports/date for school lists and enrolment as per formats provided by MoES</p> <p>Maximum 10 for this performance measure</p>	<p>Evidence that the LG has submitted accurate/consistent data:</p> <ul style="list-style-type: none"> • Enrolment data for all schools which is consistent with EMIS report and PBS: score 5 	<p>The LG submitted accurate/ consistent enrolment data for all schools which was consistent with EMIS report and PBS which was UGX 30,639 pupils as per the template for capturing Pupil Enrolment by Schools for FY 2019/20 for Mbarara DLG submitted to the office of the Commissioner Education Planning MoES on 12th September, 2019.</p>	5
<p>Governance, oversight, transparency and accountability</p>			
<p>The LG committee responsible for education met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the council committee responsible for education met and discussed service delivery issues including inspection, performance assessment results, LG PAC reports etc. during the previous FY: score 2 	<p>There was evidence that education sector committee met during FY 2018/19 and discussed issues among others related to service delivery such as;-</p> <p>One of the meeting was held on 6th December 2018 and the issues discussed by the social service committee included under Min. 05/12/2018 issues discussed included monitoring and inspection of schools in Term III, 2018 and reports compiled, examinations that had been conducted, school feeding policy.</p> <p>Another meeting was held on 14th January, 2019 and the issues discussed by the social service committee included under Min. 05/1/2019 issues discussed included monitoring and inspection of schools in term 1,2019 and reports compiled; proposed posting and transfers of education assistants and head teachers for effective and efficient service delivery based on various reasons; challenges which included inadequate funding, shortage of running water, miserable furniture for staff, repair of water borne toilets and replacement of water pipes, education department annual work plan.</p>	2

<p>The LG committee re- sponsible for education met, discussed service delivery issues and pre- sented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the education sector committee has presented issues that require approval to Council: score 2 	<p>The following were recommendations made to council from discussion of meeting held on 6th December, 2018.</p> <ul style="list-style-type: none"> • Care taking teachers who perform badly should be demoted to be classroom teachers and other teachers be identified to be care takers • Under performing head teachers be invited to council to explain themselves why they are performing poorly in their schools. <p>This was discussed in the council meeting held on 17th December, 2018 under Min. COU 28/12/ 2018</p> <p>The following were recommendation made to council from discussion of meeting held on 14th January, 2019.</p> <ul style="list-style-type: none"> • Approval of education department annual work plan for FY 2019/2020 <p>The Education Sector Plan was approved by full Council together with other sector plans that were consolidated into the Council AWP approved on 23rd May, 2019 Min. COU/54/05/2019.</p>	<p>2</p>
<p>Primary schools in a LG have functional SMCs</p> <p>Maximum 5 for this performance measure</p>	<p>Evidence that all primary schools have functional SMCs (estab- lished, meetings held, discussions of budget and resource issues and submission of reports to DEO/ MEO)</p> <ul style="list-style-type: none"> • 100% schools: score 5 • 80 to 99% schools: score 3 • Below 80 % schools: score 0 	<p>There was evidence that Primary Schools in Mbarara District had functional School Management Committees, held meetings, and discussed budget and resource issues including submission of reports.</p> <p>Mbarara District Local Government had 85 Government Primary school, out of 85 government Primary Schools in Mbarara District Five (5) were sampled i.e. Rwishamiro P/s, Runengo P/s, Rwentoyo P/s, Rwentoyo P/s, Nyampikye P/s, Bwizibwera Town P/s</p> <p>Rwishamiro P/s SMC meeting held on 14th August 2018 under minute 22/2018 where income of UGX 4,560,000 and expenditure of UGX 3,636,500 for term ii 2018 and budget prospects for term iii 2018 of UGX 4,830,000 were presented. Min 23/2018 discussed UPE Grants (a) expenditure of UGX 884,300 for term 1 2018 (b) expenditure of UGX 874,000 for Term II, 2018. (c) Budget of UGX 3,016,487 for FY 2018/2019.</p> <p>Rwishamiro P/s SMC meeting held on 21st November 2018 under minute 45/2018 UPE funds Term III, 2019 were presented. Plus, DIF expenditure Term III, 2018. Min 47/2018 UPE expenditure Term III 2018 of 1,098,850 was presented. Min 46/2018 DIF income statement Term III, 2018 was presented.</p> <p>Rwishamiro P/s SMC meeting held on 29th April, 2019 under minute 03/2019 (b) that discussed the banana plantation hiring at UGX 6M. Min 5/2019 completion of unfinished classroom block. Min 006/2019 PTA treasurers report on DIF income of UGX 7,024,500 and expenditure of UGX 7,024,500 UGX for Term I 2019. The Term II, 2019 budget of UGX 9,002,100 was also discussed. Min 07/2019 UPE Accountability Term I, 2019 of UGX 1.001,692 was also presented.</p> <p>Runengo P/s SMC meeting held on 9th July, 2018 under Minute iv SMC budget for (a) Term II 2018 of UGX 16,415,400 and balance sheet Term I, 2018 was presented. (b) UPE accountability for Term I, 2018 of UGX 1,103,700, UPE budget Term II, 2018 UGX 1,068,545.</p> <p>Runengo P/s SMC meeting held on 8th November, 2018 under minute 01 Term III 2019 (a) PTA balance sheet for Term II 2019 income UGX 16,529,000 actual income UGX 10,209,100 debt of UGX 6,319,900 was presented. (b) PTA budget for Term III 2019 of UGX 12,956,000 (c) UPE budget for Term III, 2019 UGX 2,090,075.</p>	<p>5</p>

		<p>Runengo P/s SMC meeting held on 5th February, 2019 under minute 07 Term I 2019 that discussed change of signatories since the head teacher had been transferred to another school and they had received a new one.</p> <p>Rwentojo P/s SMC meeting held on 9th November, 2018 under minute 16/2018 challenges presented by Headteacher which included debt for Term III, 2018 of UGX 5,687,000, solar for boarders, each pupil to pay UGX 500 Min 18/2018 members agreed to get a loan of UGX 4M, boarding fees to be increased.</p> <p>Nyampikye P/s SMC meeting held on 20th October, 2018 under minute V UPE accountability 2nd Term 2018, PTA 2nd Term 2018, UPE budget 3rd Term 2018, PTA 3rd Term 2018 were presented. Min VIII issues discussed included putting local carpets on girl's latrines, repairing girl's urinals.</p> <p>Nyampikye P/s SMC meeting held on 13th March, 2019 under minute viii accountabilities; (a) UPE 3rd Term 2018. Min ix budgets UPE 1st Term 2019, PTA 1st Term 2019 budgets were presented. Min xi talked about school feeding, planting seeds</p> <p>Bwizibwera Town P/s SMC meeting held on 14th August, 2018 under minute 11/2018 where resolutions from PTA executive were presented as follows; working on classroom veranda, construction of store, shuttering classrooms, plastering classrooms, staff house construction, and installation of electricity. Min 14/2018 financial report was given (i) UPE budget for 2018/19 of UGX 4,883,235 expected. (ii) PTA budget for Term 1, 2018 income of UGX 22,130,163 and expenditure of UGX 20,380,000.</p> <p>Bwizibwera Town P/s SMC meeting held on 25th October, 2018 under minute 19/2018 each parent to contribute UGX 5,000 for Sunday school however UGX 180,000 had already been contributed. Min 22/2018 UPE Accountability Term 2, 2018 of UGX 1,527,395</p> <p>Bwizibwera Town P/s SMC meeting held on 28th March, 2019 under minute 7/2019 a financial report was presented (a) income of UGX 18,541,900 and expenditure of UGX 18,509,737 for Term III, 2018. (b) PVCF budget Term I, 2019 income of UGX 25,653,163 and expenditure of UGX 25,653,163. (c) UPE Accountability for Term III, 2018 income of UGX 1,518,736 and expenditure of UGX 1,518,736. (d) UPE Accountability for Term I, 2019 income of UGX 1,527,395 and expenditure of UGX 1,527,395.</p>	
<p>The LG has publicised all schools receiving non- wage recurrent grants</p> <p>Maximum 3 for this performance measure</p>	<p>Evidence that the LG has publicised all schools receiving non-wage recurrent grants e.g. through posting on public notice boards: score 3</p>	<p>There was evidence that the LG publicized and displayed a list of all schools receiving non-wage recurrent grants during FY2019/20 as per the Education District Notice Board, dated 31st July, 2019.</p>	<p>3</p>
<p>Procurement and contract management</p>			

<p>The LG Education department has submitted input into the LG procurement plan, complete with all technical requirements, to the Procurement Unit that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the sector has submitted procurement input to Procurement Unit that covers all investment items in the approved Sector annual work plan and budget on time by April 30: score 4 	<p>There was evidence that the LG Education Department submitted sector procurement input, complete with all technical requirements to PDU that cover all items in the approved Sector annual work plan and budget for FY 2019/20, this was submitted on 15th March, 2019. The items included;</p> <p>Assorted stationary, servicing computers and accessories, photocopying services, maintenance of motor vehicles, staff tea and construction of classrooms at seven primary schools.</p>	4
Financial management and reporting			
<p>The LG Education department has certified and initiated payment for supplies on time</p> <p>Maximum 3 for this performance measure</p>	<p>Evidence that the LG Education departments timely (as per contract) certified and recommended suppliers for payment: score 3.</p>	<p>The Council used EFT payment system in all settlements and all the sampled payments on file were payment vouchers for contracts under Education, Water and Health sectors which were made on time within 30 days. Some examples were as follows:</p> <ul style="list-style-type: none"> Payment was made to M/s Kwed Construction Ltd., for contract for construction of two classroom block at Omunkiri PS in Rugando Sub County. The contract price was UGX 69,101,130. The claim letter for payment was dated 29th March, 2019 and the verification and recommendations were made by DEO, District Engineer (9th April, 2019). The certificate of civil works was dated 2nd April, 2019. CAO approved the payment on 10th April, 2019. Payment voucher was dated 16th April, 2019. Payment was made to M/s MM Development for contract for the construction of 2 classroom block at Kitojo P/s in Bugamba Sub County. The contract price was UGX 73,659,730. The claim for payment was dated 30h Jan., 2019 and the certificate of civil works was dated 4th Feb., 2019. District Engineer verified the works on 7th February, 2019 while the DEO verification was dated 8th February, 2019. CAO approved payment on 8th February, 2019 and the payment voucher as made on 11th February, 2019. 	3
<p>The LG Education department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the department submitted the annual performance report for the previous FY (with availability of all four quarterly reports) to the Planner by 15th of July for consolidation: score 4 	<p>As noted from the submission forms, the Education Department submitted its Annual Performance report (4th Quarter) later than the required date on 9th August 2019 to the District Planner for consolidation, which was within the prescribed mandatory timeline of Mid-July 2019. Other quarterly submission made were as follows;</p> <p>1st Quarter 26th November 2018</p> <p>2nd Quarter 15th February 2019</p> <p>3rd Quarter 4th June 2019, and</p> <p>4th Quarter 9th August 2019.</p>	0

<p>LG Education has acted on Internal Audit recommendation (if any)</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year o If sector has no audit query <p>score 4</p> <ul style="list-style-type: none"> o If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 2 o If all queries are not responded to score 0 	<p>Action was convincingly taken on the seven queries raised by the Internal Auditor during FY 2018/2019 and were rested by the assessment time. Internal Audit Reports for FY 2018/2019 raised queries on Education Sector such as: non accountability of funds (UGX 531,211,258), poor accountability practices, irregular payment of allowances (Nyamitanga Technical Institute), lack of properly posted cash book (Esteri Kokundeka Memorial SS), poor functionality of Board of Governors, lack of procurement/Contracts committee, teachers overstaying at the station (Rushozi PS, ineligible expenditure from USE capitation, lack of loan details, poor PLE academic performance (Reibogo PS. Education Sector responded to the Internal Audit Reports in their letter dated 5th April, 2019, ref: EDUC/225/1 with supporting evidence and status of implementation of all audit findings.</p>	<p>2</p>
<p>Social and environmental safeguards</p>			
<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department in consultation with the gender focal person has disseminated guidelines on how senior women/men teachers should provide guidance to girls and boys to handle hygiene, reproductive health, life skills, etc.: Score 2 	<p>There was no evidence that the LG Education department in consultation with the gender focal person disseminated guidelines on how senior women/men teacher should provide guidance to girls and boys to handle hygiene, reproductive health, life skills etc.</p>	<p>0</p>
<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that LG Education department in collaboration with gender department have issued and explained guidelines on how to manage sanitation for girls and PWDs in primary schools: score 2 	<p>There was evidence that LG Education department in collaboration with gender department issued and explained guidelines on how to manage sanitation for girls and PWDs in primary schools as evidenced below;</p> <p>Minutes of staff meeting held on 21st September, 2018 min. 05/09/2018 where the Health inspector and district focal officer-water and sanitation informed members that the basic requirement for school sanitation included; separate stances for boys and boys, urinals for boys and not girls, washrooms for girls, need for privacy, hand washing facilities, waste disposal and fencing.</p> <p>Minutes of staff meeting held on 28th September, 2018 min. 07/09/2018 where one of the problems identified in schools was poor sanitation and it was agreed that the office of the District Health Officer should generate a list of schools whose sanitary conditions are in sorry state and submit to the office of the DEO for further discussion.</p> <p>Minutes of headteachers planning meeting for Term III, 2018 held on 21st September, 2018 under min 5/2018 where the health inspector Kashari county informed members that 70% of diseases were as a result of poor hygiene and sanitation in schools. That the basic needs for school sanitation are sanitary facilities, i. e adequate pit latrine stances with washable floor, separate urinals for both girls and boys, girls washrooms, safe drinking water coverage, food storage, water disposal and fencing.</p>	<p>2</p>

<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the School Management Committee meets the guideline on gender composition: score 1 	<p>There was evidence of existence and functionality of SMC at the schools sampled and visited. The SMC met the guidelines on gender composition as evidenced in the schools the PAT sampled below;</p> <ul style="list-style-type: none"> • Rwishamiro P/s in Rwanyahembe Sub County with 12 members of whom 4 were female. • Runengo PS in Rwanyahembe Sub County with 12 members of whom 2 were female. • Rwentoyo PS in Rwanyahembe Sub County 12 members of whom 4 were female. • Nyampikye PS in Rwanyahembe Sub County with 12 members of whom 5 were female. • Bwizibwera Town PS in Rwanyahembe Sub County with 12 members of whom 4 were female. 	<p>1</p>
<p>LG Education department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department in collaboration with Environment department has issued guidelines on environmental management (tree planting, waste management, formation of environmental clubs and environment education etc.): score 1: 	<p>There was evidence that the LG Education department in collaboration with Environment department issued guidelines on environmental management (tree planting, waste management, formation of environmental clubs and environment education as evidenced below;</p> <p>Letter from the DEO to all head teachers on School Environment Education, dated 25th July, 2018, Ref. EDUC/210/1. They were advised to maintain the school environment education in their schools. An environmental improvement action plan together with the school environment/education for sustainable development programme chart was attached to the letter.</p> <p>Guidelines on environmental awareness and management in schools compiled by the Senior Environment Officer on 25th July, 2018.</p>	<p>1</p>
<p>LG Education department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all school infrastructure projects are screened before approval for construction using the checklist for screening of projects in the budget guidelines and where risks are identified, the forms include mitigation actions: Score 1 	<p>There was evidence that the school infrastructure projects were screened before approval for construction as evidenced below on the screening forms with corresponding dates showing when they were signed;</p> <p>Construction of a 2-classroom block at Ruhunga P/s in Rubuya Sub County, dated 19th October, 2018.</p> <p>Construction of a 2-classroom block at Kitojo P/s in Bugamba Sub County, dated 15th October, 2018.</p> <p>Construction of a 2-classroom block at Kaiho P/s in Ndeija Sub County, dated 15th October, 2018.</p> <p>Construction of a 2-classroom block at Omukiri P/s in Rugando Sub County, dated 15th October, 2018.</p> <p>Construction of a 2-classroom block at St Simon Koga PS in Bubaare Sub County dated 19th October, 2018.</p>	<p>1</p>

<p>LG Education department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • The environmental officer and community development officer have visited the sites to check whether the mitigation plans are complied with: Score 1 	<p>There was evidence that the environmental Officer and Community Development officer visited the sites to check that the mitigation plans were complied with and signed on the ESIA reports for each project as evidenced below;</p> <p>Construction of a 2-classroom block at Ruhunga PS in Rubuya Sub County, dated 30th January, 2019.</p> <p>Construction of a 2-classroom block at Kitojo P/s in Bugamba Sub County, dated 7th February, 2019.</p> <p>Construction of a 2-classroom block at Omukiri PS in Rugando Sub County, dated 27th March, 2019.</p> <p>Construction of a 2- classroom block at St Simon Koga PS in Bubaare Sub County, dated 28th March, 2019.</p> <p>Construction of a 2-classroom block at Kaiho PS in Ndejja Sub County, dated 28th June, 2019.</p>	
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Summary of requirements	Definition of compliance	Compliance justification	Score
Human resource planning and management			
<p>LG has substantively recruited primary health care workers with a wage bill provision from PHC wage</p> <p>Maximum 8 points for this performance measure</p>	<p>Evidence that LG has filled the structure for primary health care with a wage bill provision from PHC wage for the current FY</p> <ul style="list-style-type: none"> • More than 80% filled: score 8 • 60 – 80% - score 4 • Less than 60% filled: score 0 	<p>PHC wage allocation for Mbarara District FY 2019/20 was: 1,639,326,000</p> <p>PHC wage requirement for health workers in post for FY 19/20 was 2,176,847,148</p> <p>%wage provision (posts filled for primary health care workers with a wage bill provision) = 132.8%</p> <p>The available wage was to cater for 220 health staff who were in post out of the approved number of 248.</p> <p>The District had no money to recruit new staff but the DHO submitted a recruitment plan for the department on 15th July, 2019, to CAO. The submission letter was referenced as HEA/157/1. Key posts prioritized for recruitment included 1 Senior medical Officer, 1 Nursing officer nursing and District Health Officer among others.</p> <p>PAT was informed by ADHO that the extra money to pay salaries for the staff was got from other departments like Education and that is why the percentage was higher than 100%.</p>	8
<p>The LG Health department has submitted a comprehensive recruitment plan for primary health care workers to the HRM department</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that Health department has submitted a comprehensive recruitment plan/re- quest to HRM for the current FY, covering the vacant positions of primary health care workers: score 6</p>	<p>The DHO submitted a Recruitment Plan for FY 2019/2020 through CAO to the HRO on 15th July, 2019 ref HEA/157/1 signed by Nshabohurira Agatha Assistant DHO seeking recruitment of 28 Health Workers.</p> <p>The Sector recruitment plan prioritized to recruit among others; a DHO, a Senior medical officer and a Nursing Officer (Nursing)</p>	6

<p>The LG Health department has conducted performance appraisal for Health Centre IVs and Hospital In-charge and ensured performance appraisals for HC III and II in-charges are conducted</p> <p>Maximum 8 points for this performance measure</p>	<p>Evidence that the all health facilities in-charges have been appraised during the previous FY:</p> <ul style="list-style-type: none"> o 100%: score 8 o 70 – 99%: score 4 o Below 70%: score 0 	<p>From the sampled ten Health Center in-charges, there was proof of appraisal for the Financial Year ended June, 30 2019 as indicated below;</p> <p>Dr. Atuhairwe Richard the in-charge Bwizibwera HC IV was appraised by Assistant DHO Agatha Nshabohurira on 23rd July, 2019.</p> <p>Tusasirwe Evalyne Minyeto a senior Clinical Officer and the in-charge of Rubaaya HC III was appraised on 8th July, 2019 by Dr. Atuhairwe Richard the in-charge Bwizibwera HC IV,</p> <p>Basemera Grace a medical clinician and the in-charge of Rubindi HC III was appraised on 30th June, 2019 by Dr. Atuhairwe Richard the in-charge Bwizibwera HC IV,</p> <p>Mugume Bunanukye a Clinical Officer and the in-charge of Kashare HC III was appraised on 8th July, 2019 by Dr. Atuhairwe Richard the in-charge Bwizibwera HC IV,</p> <p>Nabaasa Willis a Clinical Officer and the in-charge of Bubaare HC III was appraised on 8th July, 2019 by Dr. Atuhairwe Richard the in-charge Bwizibwera HC IV.</p> <p>Kabusingye Mary a Clinical Officer and the in-charge of Bukiro HC III was appraised on 27th August, 2019 by Mugume Bunanukye a Clinical Officer and the in-charge of Kashare HC III</p> <p>Tuwangye Moses a Clinical Officer and the in-charge of Kagongi HC III was appraised on 30th June, 2019 by Dr. Atuhairwe Richard the in-charge Bwizibwera HC IV</p> <p>Namukasa Beth an Enrolled Nurse and the in-charge of Bwengure HC II was appraised on 19th July, 2019 by Tumushabe Evaristo, a Senior Assistant Nursing Officer at Bwizibwera HC IV</p> <p>Kanyesigye Hillary an Enrolled Nurse and the in-charge of Mabira HC II was appraised on 22nd July, 2019 by Tumushabe Evaristo, a Senior Assistant Nursing Officer at Bwizibwera HC IV</p> <p>Binomugisha Bernice an Enrolled Nurse and the in-charge of Itara HC II was appraised on 19th July, 2019 by Tumushabe Evaristo, a Senior Assistant Nursing Officer at Bwizibwera HC IV</p>	8
<p>The Local Government Health department has deployed health workers across health facilities and in accordance with the staff lists submitted together with the budget in the current FY.</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Health department has deployed health workers in line with the lists submitted with the budget for the current FY, and if not provided justification for deviations: score 4 	<p>The LG deployed health workers in line with lists submitted with the budget for FY 2019/2020.</p> <ul style="list-style-type: none"> • Rubindi HC III had 19 staff <p>At each facility sampled and visited; Bubaare HC III, Kagongi HC III, Kashari HC III, Mugarustya HC II, Bukiro HC III and Mabira HC II, the staff list matched with the deployment list at DHO's office</p>	4
Monitoring and Supervision			

<p>The DHO/MHO has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to health facilities</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DHO/ MHO has communicated all guidelines, policies, circulars issued by the national level in the previous FY to health facilities: score 3 	<p>The District received many documents from Ministry of Health in FY 2018/2019. The signed distribution lists of the following documents, among others, were seen at DHO's Office:</p> <p>1.National sanitation and hygiene guidelines 2017. Health facilities which received this guideline included; Kashare HC III, Rugando HC III, Rubay HC III. The distribution list was dated 17th October, 2018</p> <p>2.Cancer IEC materials distribution list was seen, distribution was done in September, 2018. Among the guidelines included; referral guidelines, health education guidelines, general cancer guidelines. Among the health facilities which took the guidelines included; Bwizibwera HC IV, Mwizi HC III.</p>	3
<p>The DHO/MHO has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to health facilities</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DHO/ MHO has held meetings with health facility in- charges and among others explained the guidelines, policies, circulars issued by the national level: score 3 	<p>No evidence was provided, however verbal communication indicated that meetings were held</p>	0
<p>The LG Health Department has effectively provided support supervision to district health services</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that DHT/MHT has supervised 100% of HC IVs and district hospitals (including PNFPs receiving PHC grant) at least once in a quarter: score 3</p>	<p>Mbarara DLG had no Hospital but in FY 2018/19 it had three HC IVs namely Kinoni, Bugamba and Bwizibwera. When Rwampara was made a District, which started its operation on 01st July, 2019 it only Bwizibwera HC IV</p> <p>The assessment team looked at evidence that the DHT had conducted 4 quarterly integrated technical support supervision visits to Kinoni HC IV, Bugamba HC IV, Bwizibwera HC IV and all the HC IIIs and HC IIs.</p> <p>The quarterly support supervision reports indicated that the all the Health Centers were visited as follows:</p> <p>Quarter 1 report: 04th – 28th September, 2018</p> <p>Quarter 2 report: 26th November, - 6th December, 2018</p> <p>Quarter 3 report: 13th – 31st March, 2019</p> <p>Quarter 4 report: 10th – 28th June, 2019</p> <p>From the Red book (MOH support supervision book) at the visited health facilities there was evidence that the Health Care centers had been visited on the above dates</p>	3

<p>The LG Health Department has effectively provided support supervision to district health services</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that DHT/MHT has ensured that HSD has supervised lower level health facilities within the previous FY:</p> <ul style="list-style-type: none"> • If 100% supervised: score 3 • 80 - 99% of the health facilities: score 2 • 60% - 79% of the health facilities: score 1 • Less than 60% of the health facilities: score 0 	<p>Mbarara DLG had two HSD namely Kinoni HC IV (Rwampara HSD) and Bwizibwera HC IV (Kashari HSD) in FY 2018/19. When Rwampara was made a District which started its operation on 01st July, 2019 Mbarara DLG only Bwizibwera HC IV (Kashari HSD)</p> <p>There was evidence that the Kinoni HC IV (Rwampara HSD) and Bwizibwera HC IV (Kashari HSD) carried out Supervision to all HC IIIs and HC IIs at the DHO's office.</p> <p>Bwizibwera HC IV (Kashari HSD) health facilities.</p> <p>Q1 Report – 16th October, 2018</p> <p>Health facilities visited were; Bubaare HC III, Kagongi HC III, Rubindi HC III, Kashari HC III, Mugarustya HC II, Bukiro HC III and Mabira HC II</p> <p>Q2 Reports - 18th – 20th December, 2018</p> <p>Health facilities visited were; Bubaare HC III, Bukiro HC III, Rubindi HC III, Nyarubungo HC II, Kashari HC III, Mugarastya HC II, Karwesanga HC II and Mabira HC II</p> <p>Q3 Report – 05th April, 2019</p> <p>Health facilities visited were; Bubaare HC III, Kagongi HC III, Rubindi HC III, Kashari HC III, Mugarustya HC II, Bukiro HC III and Mabira HC II</p> <p>Q4 Report – 04th July, 2019</p> <p>Health facilities visited were; Bubaare HC III, Kagongi HC III, Rubindi HC III, Kashari HC III, Mugarustya HC II, Bukiro HC III and Mabira HC II</p> <p>Kinoni HC IV (Rwampara HSD) health facilities</p> <p>When Rwampara was made a District on its on which started operation on 01st July, 2019 all the documents which belonged to Rwampara were handed over so PAT could not get the Rwampara HSD supervision reports.</p>	<p>3</p>
<p>The LG Health department (including HSDs) have discussed the results/reports of the support supervision and monitoring visits, used them to make recommendations for corrective actions and followed up</p> <p>Maximum 10 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all the 4 quarterly reports have been discussed and used to make recommendations (in each quarter) for corrective actions during the previous FY: score 4 	<p>All the 4 support supervision reports were discussed by DHT and recommendations made for corrective actions during the FY 2018/2019 were incorporated with in the report under each affected health facility as seen below.</p> <p>Quarter 1: DHT supervision report dated 4th - September, 2018 under Ndejja HC III the recommendation; The in- charge to place orders early enough and request the redistribution of TB drugs from the facilities which have them.</p> <p>Quarter 2: DHT supervision report dated 26th November, - 06th December, 2018 under Bwizibwera HC IV the recommendation; Health workers who go out for out reaches were told to keep time as they carry out reaches.</p> <p>Quarter 3: DHT supervision report dated 13th – 31st March, 2019 under Ruhindi HC III the recommendation; Midwives should work hard and ensure that ANC (4th visit) attendance are increased and health facility deliveries.</p> <p>Quarter 4: DHT supervision report dated 10th - June, 2019 under Kinoni HC IV the recommendation; Health workers were urged to regularly register in attendance book</p>	<p>4</p>

<p>The LG Health department (including HSDs) have discussed the results/reports of the support supervision and monitoring visits, used them to make recommendations for corrective actions and followed up</p> <p>Maximum 10 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the recommendations are followed up and specific activities undertaken for correction: score 6 	<p>There was no evidence of systematic corrective action based on recommendations from the DHT Meetings.</p>	0
<p>The LG Health department has submitted accurate/consistent reports/data for health facility lists receiving PHC funding as per formats provided by MoH</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has submitted accurate/consistent data regarding: <ul style="list-style-type: none"> List of health facilities receiving PHC funding, which are consistent with both HMIS reports and PBS: score 10 	<p>The HMIS reporting details for 2018/2019 for all PHC centers receiving PHC funds were obtained from the database at Ministry of Health. MOH receives the HMIS records from each Health Facility in the country electronically, every month.</p> <p>The HMIS Reports for the 4 quarters of FY 2018/2019 showed that the List of Health Units provided by the DHO's Office tally with those from the HMIS and PBS Reports at the Ministry of Health. For example, DHO's list had 27 health facilities receiving PHC funds and the same number was reflected in HMIS and PBS. The reports were sent to MoH electronically. All Health Facilities in Mbarara District reported on time in 2018/2019.</p>	10
<p>Governance, oversight, transparency and accountability</p>			
<p>The LG committee responsible for health met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG committee responsible for health met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports etc. during the previous FY: score 2 	<p>The Education, Health and Community Based Services standing committee discussed the sector service delivery issues. The committee during the previous FY held meetings to discharge its duties as indicated below;</p> <ul style="list-style-type: none"> On 27th July, 2018, through Min. No. 04/07/2018, the committee was informed of the steady progress of construction of maternity ward at Rubaaya HC III. Furthermore, the committee was informed that funds worth UGX 220M had been received from World Bank for face-lifting Bwizibwera HC IV On 4th October, 2018 through Min. No. 02/10/2018 the committee discussed a report on unsatisfactory services rendered by the staff at Nyabikungu HC II and was also informed that the face-lifting works of Bwizibwera HC IV was completed. On 6th December, 2018 through Min. 05/12/2018, the Committee discussed a report on the sorry and dilapidated state in which lower HC II of Bushwere and Kashera were in. <p>Other meetings were held on; 14th January, 2019, 26th March, 2019 and 23rd April, 2019.</p>	2

<p>The LG committee responsible for health met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the health sector committee has presented issues that require approval to Council: score 2 	<p>There was evidence that the Council Committee responsible for health concerns has presented issues that require approval to Council. For example;</p> <ul style="list-style-type: none"> <p>There was evidence that the Council Committee responsible for health concerns has presented issues that require approval to Council. For example;</p> <ul style="list-style-type: none"> On 30th August, 2018 through Min. No. 11/08/2018, the Full Council discussed a report on; the renovation of Bwizibwera HC IV, renovation and repair of pediatric ward and procurement of two rain water harvesting tanks and gutters. <p>It was also reported that the DLG had recruited 34 new Health workers; 19 midwives, 2 medical officers, 2 Anesthetic officers, 3 Laboratory assistants, 8 nurses and all had been posted.</p> <ul style="list-style-type: none"> On 2nd November, 2018 under Min. 22/11/2018 (b) the Council approved the Quarter One Social services report on which health report was part. <p>Other Council meetings were held on; 17th December 2018, 14th March, 2019, 26th March, 2019 and 23rd May, 2019.</p>	2
<p>The Health Unit Management Committees and Hospital Board are operational/functioning</p> <p>Maximum 6 points</p>	<p>Evidence that health facilities and Hospitals have functional HUMCs/Boards (established, meetings held and discussions of budget and resource issues):</p> <ul style="list-style-type: none"> If 100% of randomly sampled facilities: score 6 If 80-99 %: score 4 If 70-79: %: score 2 If less than 70%: score 0 	<p>The PAT visited only Rubindi HC and noted proof of existence of a functional HUMC as evidenced by meetings held on the following dates;;</p> <p>Q1- 09th November, 2018</p> <p>Q2- Did not sit the health facility was involved in child days and the In-charge had gone for annual leave</p> <p>Q3- 28th March, 2019</p> <p>Q4- 18th July, 2019.</p> <p>The PAT visited one HC because of Heavy rains and the District officials had a parallel program with MoFPED on PBS training on 28th November, 2019 which engaged most staff for that whole day. The visit to HCs was rescheduled to Saturday 30th November, 2019 and it rained very heavily.</p>	0
<p>The LG has publicised all health facilities receiving PHC non-wage recurrent grants</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has publicised all health facilities receiving PHC non- wage recurrent grants e.g. through posting on public notice boards: score 4 	<p>The list of Health Facilities receiving the PHC none-recurrent grants was displayed at the DHO's Notice Board dated 30th July, 2018 for quarter four, signed by DHO Dr. Ssebutinde Peter and also at individual Health Facilities the Budgets and receipts of Quarterly funds for 2018/2019 were displayed.</p> <p>For example, the total PHC allocation for FY 2018/2019 quarter four was UGX 105,903,249 divided as follows;</p> <p>HC II - UGX. 31,868,933</p> <p>HC III – UGX 34,051,223</p> <p>HC IV - UGX. 23,055,789</p> <p>NGOs – UGX. 16,947,304</p>	4
Procurement and contract management			

<p>The LG Health department has submitted input to procurement plan and requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the sector has submitted input to procurement plan to PDU that cover all investment items in the approved Sector annual work plan and budget on time by April 30 for the current FY: score 2 	<p>The Health department submitted its procurement plan input to PDU on 18th March, 2019 signed by Nshabohurira Agatha Assistant DHO and it was received by Mwije Dinah head PDU on 18th March, 2019.</p> <p>Among the projects in the procurement plan included; Construction of OPD building and renovation of staff houses at Bubaare HC III, Construction of OPD building and staff houses at Kagangi HC III,</p>	2
<p>The LG Health department has submitted input to procurement plan and requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that LG Health department submitted procurement request form (Form PP5) to the PDU by 1st Quarter of the current FY: score 2. 	<p>PP form 1 with a request for fuel for coordination of health services submitted to PDU through CAO by Ssebutinde Peter DHO on 22nd January, 2019 and it was received on 22nd January, 2019 totaling to Ughs 1,600,000</p> <p>PP form 1 with a request for Construction of an OPD building at Bubaare HC III submitted to PDU through CAO by Tusimireyo Johnson District planner on 05th August, 2018</p>	2
<p>The LG Health department has certified and initiated payment for supplies on time</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DHO/ MHO (as per contract) certified and recommended suppliers timely for payment: score 4. 	<p>The Council had Vouchers for payment of suppliers during the FY 2018/2019.</p> <p>Sampled payments reviewed showed that they were made through manual payment vouchers for the sector of Health. A review of the sampled payments indicated they were made within 30 days. Some examples were as follows:</p> <ul style="list-style-type: none"> Payment to M/s Gremu Trading Co. Ltd, for first contract for repair and part extension of maternity ward at Bwizibwera HC IV. The contact price was UGX 172,487,000. The claim for payment was dated 7th February, 2019. Verifications and recommendations were dated; District Engineer and DHO (28th February, 2019). CAO approved payment on 5th Mar., 2019. Payment voucher was dated 9th March, 2019. Payment was made to M/s Gremu Trading Company Ltd. for contract for the renovation and extension of Bwizibwera HC IV maternity ward in Bwizibwera – Rutooma Town Council. The contract price was UGX 172,487,000. The claim for payment was dated 11th June, 2019 and was verified and recommended by District Health Officer and District Engineer (11th June, `2019). CAO approved the payment on 12th Jun., 2019. Payment was effected on 13th June, 2019 to the amount of UGX 68,516,289. 	4
Financial management and reporting			

<p>The LG Health department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the department submitted the annual performance report for the previous FY (including all four quarterly reports) to the Planner by mid-July for consolidation: score 4 	<p>The department submitted the annual performance report for the previous FY 2018/2019 to the planner on 25th June, 2019 which was within the time frame of submitting by mid- July. Individual quarterly reports were submitted on the following dates;</p> <p>1st Quarter on 3rd December, 2018</p> <p>2nd Quarter on 13th June, 2019</p> <p>3rd Quarter on 13th June, 2019, and</p> <p>4th Quarter on 25th June, 2019</p>	4
<p>LG Health department has acted on Internal Audit recommendation (if any)</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year</p> <ul style="list-style-type: none"> If sector has no audit query: Score 4 If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: Score 2 points If all queries are not responded to Score 0 	<p>Satisfactory action taken was taken on the five queries raised by the Internal Auditor during FY 2018/2019 and all had been rested by the Assessment time. Internal Audit Reports for FY 2018/2019 raised queries on Health Sector such as: lack of acknowledged funds from the center (UGX 105,903,249), un updated books of accounts, irregular staff attendance, un-utilized funds (UGX 22,200,000), expired drugs not disposed of unspent funds (UGX 14,121,984).</p> <p>The Health Sector responded to the Internal Audit findings in their letter dated 14th May, 2019, (no reference) with supporting evidence and status of implementation of all audit findings. There were therefore responses to audit queries during the FY 2018/2019.</p>	2
Social and environmental safeguards			
<p>Compliance with gender composition of HUMC and promotion of gender sensitive sanitation in health facilities.</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> Evidence that Health Unit Management Committee (HUMC) meet the gender composition as per guidelines (i.e. minimum 30 <p>% women: score 2</p>	<p>HUMC of Rubindi HC III had six women out of twelve members.</p> <p>The PAT visited one HC because of Heavy rains and the District officials had a parallel program with MoFPED on PBS training on 28th November, 2019 which engaged most staff for that whole day. The visit to HCs was rescheduled to Saturday 30th November, 2019 and it rained very heavily.</p>	2
<p>Compliance with gender composition of HUMC and promotion of gender sensitive sanitation in health facilities.</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> Evidence that the LG has issued guidelines on how to manage sanitation in health facilities including separating facilities for men and women: score 2. 	<p>Distribution list of National sanitation and hygiene guidelines 2017 dated 17th October, 2018 was seen. Among the health facilities which received this guideline included; Rugando HC III, Kashare HC III</p> <p>Gender separation of toilets was observed at the sampled Health Facilities.</p> <p>Hand Washing Wall Charts were seen at the visited health facilities</p>	2

<p>LG Health department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that all health facility infrastructure projects are screened before approval for construction using the checklist for screening of projects in the budget guidelines and where risks are identified, the forms include mitigation actions: Score 2 	<p>All infrastructure projects were screened as follows; repair of a theatre at Mwizi HC IV screening was done on 16th November, 2018 by Niwagaba David Sancho Senior Environmental Officer</p> <p>Construction of two stance water bone toilet at Bwizibwera Rutooma town council screening was done on 27th July, 2018 by Niwagaba David Sancho Senior Environmental Officer</p> <p>Expansion of Bwizibwera HC IV buildings (children's ward, maternity ward, OPD, renovation of Doctors house and the theatre) screening was done on 27th July, 2018 by Niwagaba David Sancho Senior Environmental Officer.</p>	<p>2</p>
<p>LG Health department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> The environmental officer and community development officer have visited the sites to checked whether the mitigation plans are complied with: Score 2 	<p>The PAT assessed supervision report as follows;</p> <p>Construction of two stance water bone toilet at Bwizibwera Rutooma town council site visit was done on 18th December, 2018 report signed by Niwagaba David Sancho Senior Environmental Officer</p> <p>Expansion of Bwizibwera HC IV buildings (children's ward, maternity ward, OPD, renovation of Doctors house and the theatre) and repair of a theatre at Mwizi HC IV site visit was done on 04th January, 2019 by Niwagaba David Sancho Senior Environmental Officer</p>	<p>2</p>
<p>The LG Health department has issued guidelines on medical waste management</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> Evidence that the LG has issued guidelines on medical waste management, including guidelines (e.g. sanitation charts, posters, etc.) for construction of facilities for medical waste disposal: score 4. 	<p>Letter dated 16th October, 2018 to health facilities on guideline on management and disposal of waste at health center was seen and it was found displayed at the notice board of Rubindi HC III</p> <p>Charts showing segregation of medical waste and waste bins in different colors were issued out at every Health facility. This was evidenced at the visited facility of Rubindi HC III</p>	<p>4</p>

Summary of requirements	Definition of compliance	Compliance justification	Score
Planning, budgeting and execution			
<p>The DWO has targeted allocations to sub-counties with safe water coverage below the district average.</p> <p>Maximum score 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the district Water department has targeted sub-counties with safe water coverage below the district average in the budget for the current FY: <ul style="list-style-type: none"> o If 100 % of the budget allocation for the current FY is allocated to S/Cs below average coverage: score 10 o If 80-99%: Score 7 o If 60-79: Score 4 o If below 60 %: Score 0 	<p>From the review of the Annual Work Plan 2019/2020 approved by the MoWE on 30th July 2019 and MIS data from Ministry of Water and Environment, the safe water coverage average for Mbarara District Local Government was 66%.</p> <p>Out of 12 Sub counties, 3 Sub counties were found to be below district average as follows;</p> <p>Biharwe S/C (18%), Kashare S/C (46%) and Rubaya S/C (56%).</p> <p>The AWP 2019/2020, revealed that the department Development grant budget was UGX 439,098,546</p> <p>The planned projects for the financial year 2019/2020 were as follows;</p> <p>Construction of water borne toilet at UGX 32,000,000 in Rubaya S/C</p> <p>Construction of VIP latrine at Rwatsinga P/S at UGX 22,089,546 in Bukiro S/C</p> <p>Drilling and installation of hand pump boreholes at UGX 147,000,000; two in Rubaya S/C, two in Kashare S/C, one in Rwanyamahembe S/C, one in Rubindi S/C, one in Bubare S/C and one in Bukiro.</p> <p>Deep borehole at UGX 70,000,000 in Rubaya S/C and Bukiro S/C</p> <p>Construction of extension of Kashare solar piped water system at UGX 81,000,000 in Kashare S/C</p> <p>Siting and supervision of two boreholes in Kashare S/C, two in Rubaya S/C, two Rwanyamahembe S/C in, one in Rubindi, one in Bubare S/C, one in Bukiro at UGX 30,000,000</p> <p>Rehabilitation of 15 boreholes at UGX 45,000,000; four in Kashare, four in Rubaya, three in Bubare, three in Rwanyamahembe, and one in Kagongi.</p> <p>The total budget allocated to sub counties below district Average was UGX 360,500,000/= equivalent to 82.1% of the total Budget.</p>	7

<p>The district Water department has implemented budgeted water projects in the targeted sub-counties (i.e. sub-counties with safe water coverage below the district average)</p> <p>Maximum 15 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the district Water department has implemented budgeted water projects in the targeted sub-counties with safe water coverage below the district average in the previous FY. o If 100 % of the water projects are implemented in the targeted S/Cs: <p>Score 15</p> <ul style="list-style-type: none"> o If 80-99%: Score 10 o If 60-79: Score 5 o If below 60 %: Score 0 	<p>The review of the Annual progress reports for FY 2018/19 and MIS reports from MWE revealed that Kashare (37%), Mwizi (70%), Rubaya (63%) and Rwanyamahembe (65%) had safe water coverage below district average, which was 78% at the time.</p> <p>The following projects were planned for implementation in the Financial year 2018/2019;</p> <ul style="list-style-type: none"> • Extension of Rubaya Min Solar piped water system, Rubaya S/C • Extension of Kibingo-Kyandahi Gravity flow scheme Phase II in • Protection of 6 No. medium water springs. • Rehabilitation of 36 No. boreholes. • Drilling of 9 No. deep boreholes and fitted with hand pumps. • Construction of 5 stance VIP latrine at Rwenge Primary School • Construction of Water borne toilet Nyabaguruka Primary School <p>When the assessment team reviewed the Annual progress, reports submitted to MoWE for instance;</p> <ul style="list-style-type: none"> • Quarter 1 Report (approved on 12th October, 2018), • Quarter 2 Report (approved on 15th January, 2019), • Quarter 3 Report (approved on 17th April, 2019) and • Quarter 4 Report (approved 11th July, 2019) and <p>the site visits made to sampled projects confirmed that all projects in the above sub counties were implemented.</p>	
Monitoring and Supervision			

<p>The district Water department carries out monthly monitoring of project investments in the sector</p> <p>Maximum 15 points for this performance measure</p>	<p>Evidence that the district Water department has monitored each of WSS facilities at least annually.</p> <ul style="list-style-type: none"> • If more than 95% of the WSS facilities monitored: score 15 • 80% - 95% of the WSS facilities - monitored: score 10 • 70 - 79%: score 7 • 60% - 69% monitored: score 5 • 50% - 59%: score 3 • Less than 50% of WSS facilities monitored: score 0 	<p>The reviewed Annual Progress Reports (Quarter 1, 2, 3 & 4) showed that the District Water Department planned fifty-five WSS projects and the filed inspection reports clearly indicated that all the water projects were regularly supervised and monitored.</p> <p>Data from MIS reports at the Ministry of Water and Environment indicated that Mbarara District Local government has the following Water facilities;</p> <ul style="list-style-type: none"> • Four hundred thirty-seven functional springs, • Eighty-eight shallow well • One hundred twenty-seven functional deep borehole, • Two thousand eight hundred thirty-three functional Rain Water Harvesting Tanks, • fourteen dams • sixty-two valley tanks and • Eight hundred forty-three Public Kiosks/tap stands. <p>Extension staff monitoring reports on files indicated that about 616 water supply points were monitored and supervised every Quarter during the FY 2018/19 by the District Water Office supported by the Extension Staff (Health Assistants and Community Development Officers located at the respective Sub-counties). It is therefore estimated that about 2464 out of 4404 functional water supply points were monitored and supervised which represented about 56.03%</p>	<p>3</p>
<p>The district Water department has submitted accurate/consistent reports/ data lists of water facilities as per formats provided by MoWE</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the district has submitted accurate/consistent data for the current FY: Score 5 	<p>There was evidence that the District Water Office submitted FORM 1 (Data Collection Form 1 for Point Water Sources) and FORM 4 (Source Functionality, Management and Gender) to the Ministry of Water and Environment for capture in the MIS on 18th July, 2019. The list of the water facilities reported in the PBS were consistent with MIS records at the Ministry of Water and Environment and included:</p> <ul style="list-style-type: none"> • Construction of two Piped water supply systems. • Protection of six medium water springs. • Rehabilitation of thirty-six boreholes. • Drilling of nine deep boreholes and fitted with hand pumps. • Construction of two latrines. 	<p>5</p>
<p>The district Water department has submitted accurate/consistent reports/ data lists of water facilities as per formats provided by MoWE</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • List of water facility which are consistent in both sector MIS reports and PBS: score 5 	<p>List of water facilities indicated in the Ministry of Water and Environment MIS reports were consistent with those in PBS as follows:</p> <ul style="list-style-type: none"> • Construction of two Piped water supply systems. • Protection of 6 No. medium water springs. • Rehabilitation of 36 No. boreholes. • Drilling of 9 No. deep boreholes and fitted with hand pumps. • Construction of two latrines. 	<p>5</p>
<p>Procurement and contract management</p>			

<p>The district Water department has submitted input for district's procurement plan, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the sector has submitted input for the district procurement plan to PDU that cover all investment items in the approved Sector annual work plan and budget on time (by April 30): score 4</p>	<p>The sector submitted inputs for the district procurement plan to PDU that cover all investment items in the approved Sector Annual Work Plan and budget on 20th March, 2019 and it included the following projects;</p> <ul style="list-style-type: none"> • Construction of 4 stance public Latrine at Rubaya-Rusozi market • Construction of water borne toilet at Bukiro-Kitengure P/s • Construction of piped water at Kashare extension GFS Phase 1) • Deep boreholes drilling and installation (Hand pump) • Deep ore drilling and installation (production wells) • Siting and supervision of boreholes • Rehabilitation of boreholes (supply of spare parts) 	<p>4</p>
<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> • If the contract manager prepared a contract management plan and conducted monthly site visits for the different WSS infrastructure projects as per the contract management plan: score 2 	<p>There was evidence of appointment of contracts manager on file and also contract management plans were prepared for individual projects and was presented to the assessment team for review.</p> <p>For instance, on file there was appointment letters for Mr. Mucunguzi Joseph a senior assistant Engineering office as contracts manager for supervision of Extension of Rubaya mini solar powered water supply and construction of 6 Mini springs dated 18th December, 2018 and 12th December, 2018 respectively.</p> <p>Also Mr. Kashaija Kenneth who is borehole technician was appointed as contracts manager for supervision of siting and supervision of 9 boreholes in Mbarara and for supply of borehole spare parts on 18th December, 2018 and 26th November, 2018 respectively.</p> <p>Contract Management Plans were prepared and the review of the sampled WSS projects revealed that monitoring and supervision visits were conducted on monthly basis.</p>	<p>2</p>
<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> • If water and sanitation facilities constructed as per design(s): score 2 	<p>All designs for water supply and sanitation facilities were approved by the District Engineer and were availed to the Assessor for review. The Assessor inspected the two tap stands on extension of Rubya mini solar power water supply in Rubaya S/C, one borehole in Kashare S/c, two tap stands at Extension of Kyandahi GFS, % stance VIP latrine at Rwengwe primary school.</p> <p>All of the water supply and sanitation facilities were found functioning satisfactorily as per engineering designs. The Assessment team inspected the above projects and the construction of all the facilities were in conformity with the designs and were found functioning.</p>	<p>2</p>

<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> If contractor handed over all completed WSS facilities: score 2 	<p>The Contractors handed over all completed WSS facilities as evidenced by Completion reports and Hand-over reports duly signed by all parties which were availed to PAT for review for example;</p> <ul style="list-style-type: none"> Completion report for borehole drilling, pump testing and hand pump installation supervision of 9 boreholes by KLR- Uganda Ltd dated 3rd April, 2019. Hand over report by Bana Enterprises Ltd dated 5th February, 2019 for construction of Kibingo- Kyandahi GFS Phase 2. 	2
<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> If DWO appropriately certified all WSS projects and prepared and filed completion reports: score 2 	<p>Sampled Interim Payment Certificates showed that the District Water Officer had duly certified the Payment Certificates. For example</p> <p>A claim of UGX 71,741,817 by M/s Daikam Technologies Ltd dated 30th January, 2019 for extension of Rubaya Mini solar powered piped water system Contract No: MBAR537/WRKS/2018-2019/00005 was certified by DWO on 14th February, 2019.</p> <p>A claim of UGX 173,258,565 by M/s KLR- Uganda Ltd dated 3rd April, 2019 for drilling and installation of 9 boreholes was certified by DWO on 17th April, 2019.</p> <p>A claim of UGX 22,705,295 by Brainstorm Mbarara Uganda Ltd dated 02nd May, 2019 for construction of public latrine Contract NO: MBAR537/WRKS/2018-20019/00024 was certified by DWO on 2nd May, 2019.</p>	2
<p>The district Water department has certified and initiated payment for works and supplies on time</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DWOs timely (as per contract) certified and recommended suppliers for payment: score 3 points 	<p>There was evidence that the DWO who doubled as the sector Contract manager/supervisor timely certified and recommended suppliers for payment as seen in the example below;</p> <p>Payment was made to M/s Daikam Technologies Ltd., for contract for extension of Rubaya mini-solar powered water supply in Rubaya Sub County. The claim for payment was dated 30th January, 2019. Verification and recommendation were made by DWO and District Engineer were dated 14th February, 2019. CAO's approval was dated 18th February, 2019. Payment voucher was dated 19th February, 2019. Payment was made 19th February, 2019.</p>	3
Financial management and reporting			
<p>The district Water department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 5 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the department submitted the annual performance report for the previous FY (including all four quarterly reports) to the Planner by mid-July for consolidation: score 5 	<p>The department submitted the annual performance report for the previous FY 2018/2019 on 6th August, 2019 which was outside the calibrated timelines.</p> <p>Quarter 1 Submitted on 20th Oct, 2018; Ref: WAT/213/2</p> <p>Quarter 2 Submitted on 15th March, 2019; Ref: WAT/213/2</p> <p>Quarter 3 Submitted on 29th May, 2019; Ref: WAT/213/2</p> <p>Quarter 4 Submitted on 06th August, 2019; Ref: WAT/213/2</p>	0

<p>The District Water Department has acted on Internal Audit recommendation (if any)</p> <p>Maximum 5 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year o If sector has no audit query score 5 o If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 3 If queries are not responded to score 0 	<p>Due action was taken on queries raised by the Internal Auditor during FY 2017/2018 and all had been dropped by the Assessment time.</p> <p>Internal Audit Reports for FY 2018/2019 raised queries on Health Sector such as; non accountability of funds (UGX 4,500,000) from meetings and activity reports, delayed project implementation such as construction of pit latrines and rehabilitation of protected springs, unutilized funds (UGX 22,200,000), payments of retention before the end of retention period in drilling of 9 deep boreholes by KLR and payment of retention to Bana Enterprises for construction of Kyandahi Gravity Flow Scheme in Kagongi.</p>	<p>3</p>
<p>Governance, oversight, transparency and accountability</p>			
<p>The district committee responsible for water met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the council committee responsible for water met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports and submissions from the District Water and Sanitation Coordination Committee (DWSCC) etc. during the previous FY: score 3 	<p>The Mbarara District Local government standing committee for Works and technical services which is responsible for water met and discussed reports and submissions from the District Water and Sanitation coordination Committee.</p> <p>For instance;</p> <ul style="list-style-type: none"> • Committee meeting held 29th April, 2019, Min 34/2018/2019 under presentations of Annual work plan, water department annual work plan for FY 2019/20 was presented by the Ag. Water officer, members scrutinized it and finally approved it with amendment i.e. shifting a 5 stance VIP latrine that was to be constructed at Rushozi market to Rwantsinga primary school. • Meeting held on 22nd March, 2019 in Water board room Min 28/2018/2019 under reports from sector heads, Water department report was presented by the community development officer and the following was presented; the high light of planned works and achievements made during the quarters and informed members that sector received UGX 1094,017617 as third quarter release, UGX 183,420,509 as capital development and UGX 8,597,108 as software funds from Rural water and sanitation conditional grants. • Min 29/2018/2019 under reactions, members noted with concern the need for harvesting tanks in water stressed areas yet they were suspended by the line ministry. 	<p>3</p>
<p>The district committee responsible for water met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the water sector committee has presented issues that require approval to Council: score 3 	<p>Minutes of Mbarara District Local government council meeting held on 23rd May, 2019 at Rwampara County headquarters, Min COU/54/05/2019 under approval of the budget estimates and annual work plans for Mbarara and Rwampara DLG FY 2019/2020, the secretary for Finance presented the budget estimates and resolved that the revised budget be approved. He was unanimously seconded by all other members and the budget revised from UGX 1,518,000,000 to UGX 990,000,000 was approved.</p>	<p>3</p>

<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> The AWP, budget and the Water Development grant releases and expenditures have been displayed on the district notice boards as per the PPDA Act and discussed at advocacy meetings: score 2. 	<p>When assessment team visited the Notice boards, Water development Grant releases for FY 2018/19 equivalent to UGX 556,261,527 were found on the Notice board at District.</p> <p>Advocacy meeting was held on 30th October, 2019 and endorsed by CAO and Senior Engineer with attached attendance list by councilors and the following was discussed;</p> <ul style="list-style-type: none"> Sector performance review 2018/19 Planned activities for FY 2019/2020 Critical requirements Operation and Maintenance of water and sanitation and cross cutting issues. 	2
<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> All WSS projects are clearly labelled indicating the name of the project, date of construction, the contractor and source of funding: score 2 	<p>The APA team sampled WSS projects;</p> <ul style="list-style-type: none"> Two tap stands along the extension of Rubaya Min solar powered water system Borehole at Kashare II One tap stands along extension of Kyandahi GFS at Nyakabwera P/S Construction of 5-stance VIP latrine at Rwengwe P/S, <p>All the above projects were clearly labelled with the name of the project, date of construction, the contractor and source of funding.</p>	2
<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> Information on tenders and contract awards (indicating contractor name /contract and contract sum) displayed on the District notice boards: score 2 	<p>There was information on tenders and contract awards on the Notice Board at the time of assessment.</p>	2
<p>Participation of communities in WSS programmes</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> If communities apply for water/ public sanitation facilities as per the sector critical requirements (including community contributions) for the current FY: score 1 	<p>There was evidence that communities apply for water/public sanitation facilities as per the sector critical requirements and also applications from community was on file at DWO office. For example;</p> <ul style="list-style-type: none"> From Kakongora Village, Bugamba S/C dated 2nd August, 2018 requesting for a spring, Application from Ruyonza Village Rubaya S/C dated 13th August, 2019 requesting for Borehole which include meeting minutes for critical requirements, From Bunyangabo village in Kashare S/C dated 3rd July, 2019 requesting for borehole with minutes of meeting for critical requirements attached. 	1

<p>Participation of communities in WSS programmes</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> Water and Sanitation Committees that are functioning evidenced by either: i) collection of O&M funds, ii) carrying out preventive maintenance and minor repairs, iii) facility fenced/protected, or iv) they have an M&E plan for the previous FY: score 2 <p>Note: One of parameters above is sufficient for the score.</p>	<p>There was evidence that the Water and Sanitation Committees were functional.</p> <p>There was evidence of collection of O&M funds by individual WSC and also community contributions towards the implementation of WSS facilities were on file at DWO office.</p> <p>There was evidence of repairs for instance repair of spring by Ntura Village Kakongi S/c amounting to UGX 45,000 paid in Stanbic bank at Mbarara District Local Government general Collection Account on 13th June, 2018.</p>	<p>2</p>
<p>Social and environmental safeguards</p>			
<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that environmental screening (as per templates) for all projects and EIAs (where required) conducted for all WSS projects and reports are in place: score 2 	<p>There was evidence that all projects had been screened as per template. The assessment team was able to review the screening templates/reports for;</p> <ul style="list-style-type: none"> Construction of Kyandahi GFS phase II dated 23rd October, 2018 Drilling and installation of boreholes dated 7th March, 2019 Extension of Rubaya Mini solar powered water system dated 4th December, 2018. 	<p>2</p>
<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that there has been follow up support provided in case of unacceptable environmental concerns in the past FY: score 1 	<p>From the review of environmental officer's files, the Assessment team found that there has been follow up support evidenced by the environmental and social Mitigation certificates found on file.</p> <p>Construction of Kyandahi GFS phase II dated 01st February 2019 signed by both District senior Environment officer and District community development officer and all mitigation measures had been addressed especially in ensuring the catchment area upstream as well as ensuring the water being left to flow to recharge the wet land downstream.</p> <p>Drilling and installation of boreholes dated 26th March, 2019</p> <p>Extension of Rubaya mini solar powered water system dated 15th January, 2019.</p>	<p>1</p>
<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that construction and supervision contracts have clause on environmental protection: score 1 	<p>From the review of the Construction and supervision contracts, it was revealed that the environmental protection was not provided for in all the Bill of Quantities and in the contract documents.</p>	<p>0</p>

<p>The district Water department has promoted gender equity in WSC composition.</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • If at least 50% WSCs are women and at least one occupying a key position (chairperson, secretary or Treasurer) as per the sector critical requirements: score 3 	<p>The PAT sampled the following facilities;</p> <ul style="list-style-type: none"> • Bunenero tap stand • Rubaya tap stand • Kashare II borehole • Nyakabwera P/S tap stand • 5 stance VIP latrine at Rwengwe P/S <p>A review of the DWO software reports and a list of WSCs at the District office reveals that there was proof that at least 50% of WSC were women and at least one occupied a key position.</p> <p>For instance;</p> <p>Tap stand at Rubaya the committee had 3 members and all were women.</p> <p>Bunenero tap stand had 3 members and two were women occupying the position of secretary and treasurer.</p>	<p>3</p>
<p>Gender and special needs-sensitive sanitation facilities in public places/</p> <p>RGCs provided by the Water Department.</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • If public sanitation facilities have adequate access and separate stances for men, women and PWDs: score 3 	<p>The district Water department implemented two Sanitation facility, 5 - Stance VIP Latrine at Rwengwe P/s and Nyabaguruka P/s in Rugando S/C the site visit at the facilities revealed that they had separate stance for men and women, PWDS and also had hand rails in PWDs stance.</p>	<p>3</p>